

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

জীবন বীমা টাওয়ার (১৫, ১৬, ১৭ ও ২১তম তলা), ১০ দিলকুশা বা/এ, ঢাকা-১০০০, বাংলাদেশ। সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাও, শেরে বাংলা নগর, ঢাকা

BSEC/Enforcement/2598/2018/359

Dated: 11 September, 2018

Mirpur Securities Limited CSE TREC Holder # 050 Fair Plaza (3rd Floor), Plot-C/3, Block-D, Road-01, Mirpur-1, Dhaka-1216.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2598/2018/272 dated July 26, 2018 based on which the hearing was conducted on August 06, 2018.

The Commission, considering your explanation vide letter reference no. MSL/2018/82 dated 06.08.18 and MSL/2018/97 dated 30.08.18 has decided to dispose of the proceedings against Mirpur Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone: 55007147

Copy for information:

1. Managing Director, Chittagong Stock Exchange Ltd.

2. PS to the Honorable Chairman, BSEC.

3. PO to Executive Director (SRI), BSEC.

4. PO to Executive Director (Registration), BSEC.

5. PO to Executive Director (MIS), BSEC

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