



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2598/2018/৪২০ ৪২৩

Dated: October 30, 2023

Mirpur Securities Ltd.
(CSE TREC # 050)
Fair Plaza (3rd & 5th Floor), Plot-C/3, Block # D
Road-1, Mirpur-1, Dhaka-1216.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2598/2018/1524 dated November 22, 2022 based on which the hearing was conducted on December 04, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter ref. MSL/2022/67 dated 05 December 2022, MSL/2023/13 dated 02 May 2023 and MSL/2023/38 dated 21 September 2023 has decided to dispose of the matter against Mirpur Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
30-10-2023

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to Inter Department Memo letter ref. BSEC/SRI/R.I/CSE/2020/Part-XXIII/739, dated July 17, 2022.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.