

13/10/2021 11:40:17 AM
January 24, 2022

02/02/2022

Mirpur Securities Limited
CSE TREC Holder No.050
Fair Plaza (3rd, 4th & 5th Floor)
Plot No. C/3, Street-1, Block-D
Section-1, Mirpur
Dhaka-1216

Attention: Managing Director/CEO

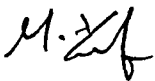
Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2844/2019/407 dated June 13, 2021 which was rescheduled as per letter No. BSEC/Enforcement/2844/2019/502 dated August 12, 2021 based on which the hearing was conducted on September 02, 2021.

Considering the explanation vide letter No.MSL/2021/188 dated September 02, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Mirpur Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.


For Bangladesh Securities and Exchange Commission



Md. Yusuf Bhuiyan
Additional Director (Enforcement)
Phone: 55007139
e-mail: yusuf@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC.
3. P.O to Executive Director (MIS), BSEC
4. P.O to Executive Director (Enforcement), BSEC
5. P.O.to Executive Director (SRI), BSEC


02/02/2022

DD-1 (MIS)