

SEC/Enforcement/867/2011/ 145
April 10, 2012

By Special Messenger
Fax: 880-2- 9568091

Mirror Financial Management Limited
DSE's Membership No. 24
Modhumita Bhaban(5th floor)
Suit No.-602
158-160, Motijheel C/A
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Reduction of Penalty: Non-compliance of Commission's Directive No. SEC/CMRRCD/2001-43/169 dated October 01, 2009 in connection with trading in shares of Ocean Container Limited.

Dear Sir,

This refers to your review petition No. NIL dated November 02, 2011 against penal order no. SEC/Enforcement/867/2011/ 490 dated August 16, 2011.

Considering your request as review petition under section 26 of the Securities and Exchange Ordinance, 1969, the Commission has decided to reduce penalty amount from Tk.5.00 (Five) Lac to Tk.2.00 (Two) Lac.

For Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

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