

## BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3239/2022/1174 September 04, 2022

Mohammad Ata Karim & Co.

Chartered Accountants
Paltan Tower (2nd Floor)
Suite #205, 87 Purana Paltan, Dhaka 1000.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3239/2022/471 March 16, 2022 based on which the hearing was conducted on December 27, 2019.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. MAK/SSU/AK06.22/109 dated 12 June 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (Mohammad Ata Karim & Co., Chartered Accountants) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

PABX: 88-02-55007131-2, Ext- 1306

04.00.202

E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC

2. P.O. to Commissioner (Enforcement), BSEC

3. P.O to Executive Director (SRI)

4. P.O to Executive Director (MIS)

5. P.O. to Director (Enforcement), BSEC.