



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3300/2022/1098
Dated: December 14, 2023

By Special Messenger

Mohammad Khairul Anam Chowdhury
CEO
United Securities Limited
Gulshan Center Point. Level #8
Plot # 23-26, Road # 90
Gulshan-2, Dhaka-1212.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3300/2022/831 dated November 01, 2023 based on which the hearing was conducted on November 12, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 09.11.2023 has decided to dispose of the proceedings against Mohammad Khairul Anam Chowdhury by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

14.12.2023

Md. Mahmudul Hasan

Assistant Director (Enforcement)

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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. Inter Divisional Memo no. BSEC/SMMID/DSE#207/2023/111/746 dated 17th October 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd. File reference no. DSE/MCD/BSEC/2023/019/5471 dated 27th September 2023.