

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3137/2021/826
Dated: June 01, 2022

Mona Financial Consultancy and Securities Ltd. (CSE TREC # 103)
Room # 413 & 417, DSE Building
9/F Motijheel C/A, Dhaka-1000.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3137/2021/655 dated April 25, 2022 based on which the hearing was conducted on May 26, 2022.

The Commission, considering your explanation letter dated 25.05.2022 has decided to dispose of the proceedings against Mona Financial Consultancy and Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


81-06-22

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MSID), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.