

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3288/2022/ 673  
Dated: April 25, 2022

By Courier Service

Mona Financial Consultancy & Securities Limited  
DSE TREC No. 164  
Room # 412, 413 & 417 Stock Exchange Building 9/F Motijheel C/A  
Dhaka - 1000

**Attention: Managing Director/CEO**

**Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3288/2022/545 dated April 05, 2022 based on which the hearing was scheduled and conducted on April 21, 2022.

The Commission, considering the explanation vide letter no. MFCSL/BSEC/2022/01 dated April 20, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against Mona Financial Consultancy & Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
25.02.2022

**Muhammad Sadequr Rahman Bhuiyan**  
Assistant Director (Enforcement)

**Copy for information:**

Chairman's Office, BSEC  
Executive Director (SRI), BSEC  
Executive Director (MIS), BSEC  
Managing Director, Dhaka Stock Exchange Ltd.