



## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3215/2021/32

By Special Messenger

Dated: January 04, 2022

Mona Financial Consultancy & Securities Limited  
TREC holder no. 164  
Room # 412, 413 & 417 Stock Exchange Building 9/F Motijheel C/A  
Dhaka-1000

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9.1.22  
MIS

**Attention: Managing Director/CEO.**


**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3215/2021/1183 dated December 19, 2021 based on which the hearing was conducted on December 29, 2021.

The Commission, considering the explanation vide letter no. MFCSL/BSEC/2021/12 dated December 27, 2021 which was submitted at the time of hearing, has decided to dispose of the proceedings against Mona Financial Consultancy & Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
04.01.2022

**Muhammad Sadequr Rahman Bhuiyan**  
Assistant Director (Enforcement)

**Copy for information:**

Chairman's Office, BSEC  
Managing Director, Dhaka Stock Exchange Ltd.  
Executive Director (SRI), BSEC  
Executive Director (MIS), BSEC