

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3231/2021/ 111

By Courier Service

January 16, 2022

Mona Financial Consultancy and Securities Limited  
(DSE TREC No. 164)  
Room # 412, 413 & 417 Stock Exchange Building  
9/F Motijheel C/A Dhaka-1000.

Attention: Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3231/2021/1204 dated December 19, 2021 based on which the hearing was conducted on December 26, 2021.

Considering the explanation MFCSL/BSEC/2021/10 dated December 23, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Mona Financial Consultancy and Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Akram Siraj 16.01.2022  
Assistant Director (Enforcement)  
E-mail: [akram@sec.gov.bd](mailto:akram@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing Director, DSE