

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Market, Market Intermediaries and Other Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

**BSEC/Enforcement/3765/2024/339**

**Dated: March 04, 2025**

Mr. Md. Sajib Hossain (BO ID: 1201820062837591)  
Station Road, P.O # Doulatpur  
Khulna-9002.

**Subject: Warning to ensure compliance of all securities related laws in future.**

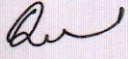
This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3765/2024/1056 dated 08 December 2024 and rescheduled hearing notice no. BSEC/Enforcement/3765/2024/76 dated 14 January 2025 & BSEC/Enforcement/3765/2024/294 dated 09 February 2025 based on which the hearing was conducted on 24 February 2025.

Subsequently, you have submitted your replies vide letter dated February 20, 2025, where you mentioned your compliance status.

The Commission, considering your degree of non-compliances, has decided to warn you to be careful in future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
09-03-2025

Rubel Hossain  
Assistant Director (Enforcement)

Copy for information:

1. Chairman Office, BSEC.
2. P.O. to all Commissioner (Enforcement), BSEC.
3. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/2024/IED/4918 dated 18<sup>th</sup> August 2024.
- ✓ 4. PO to Executive Director (ICTD), BSEC.
5. Managing Director, Dhaka Stock Exchange PLC.