

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3336/2022/351
Dated: March 04, 2025

By Special Messenger

Mr. Rezzaul Hasan Bhuiyan
(BO ID# 1204220058156700 and client code# 22733)
Flat No#B-4, Razzak Palace, Chatteswari Road, Chittagong.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future

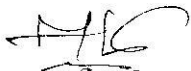
This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3336/2022/686 dated September 11, 2024 and subsequent reschedule letter reference no# BSEC/Enforcement/3336/2022/874 dated November 04, 2024, BSEC/Enforcement/3336/2022/1110 dated December 19, 2024, BSEC/Enforcement/3336/2022/191 dated February 02, 2025 based on which the hearing was scheduled on September 23, 2024, November 18, 2024, December 30, 2024 and February 17, 2025 as well as the hearing was conducted on February 17, 2025 for the violation of securities laws.

The Commission, by being dissatisfied and considering your degree of non-compliances as well as subsequent submission of required documents as a proof of compliances, has decided to warn you to be careful in future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the show cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission.


04.03.2025

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Email: mahmudulhasan@sec.gov.bd

Copy for information:

PS to Chairman, BSEC.

PO to Commissioner (All)

PO to Executive Director (MIAD), BSEC. With reference to surveillance department note dated May 15, 2016.

✓ PO to Executive Director (ICTD), BSEC.

Managing Director, Dhaka Stock Exchange PLC. With reference no# DSF/2016/IED/2257 dated April 06, 2016.

Securities Commission Bhaban, E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.