Bangladesh Securities and Exchange Commission

Enforcement Division Enforcement Department Market, Market Intermediaries and Other Affairs Section www.sec.gov.bd

BSEC/Enforcement/3701/2024/827 Dated: October 24, 2024

Mr. Sheikh Kamruzzaman (BOID: 1204480064087485 and 1205700059715106) Flat # 1/304, Eastern Orchid, 8 Circuit House Road- 1217.

Subject: Warning to ensure compliance of all securities related laws in future.

hearing notice No. refers to the Commission's show cause cum This BSEC/Enforcement/3701/2024/712 Dated: September 11, 2024 based on which the hearing has been conducted on September 30, 2024.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated September 30, 2024 which was submitted at the time of hearing relating to Share Trading of Fine Foods Limited (FINEFOODS) for the period from 02.03.2023 to 07.06.2023, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Deputy Director (Enforcement) IP PABX: 88-02-09609-100600 E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC.

2. P.O. to All Commissioner (Enforcement), BSEC.

3. P.O to Executive Director (MIAD), BSEC. (Ref: BSEC/Surveillance/2003-0097/ dated August 14, 2024)

P.O to Executive Director (MIS), BSEC.

5. P.O. to Director (Enforcement), BSEC.

6. Managing Director, Dhaka Stock Exchange Ltd. (Ref: DSE/2024/IED/2503 dated: April 28, 2024)