



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3457/2023/602

Dated: August 20, 2023

Mr. A. S. M. Hasib Hasan

(BOID: 1205720065094927 and 1205670064774040)

Flat-3/H, House-219/13

South Pirerbug, Mirpur, Dhaka-1216

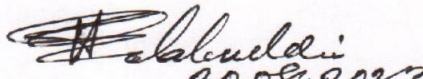
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3457/2023/525 Dated: July 12, 2023 based on which the hearing was conducted on July 30, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter July 13, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


20.08.2023

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

PABX: 88-02-55007131-2, Ext- 1306

E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS), BSEC (File Ref: BSEC/MIS/2020-988)
4. P.O. to Executive Director (MSI), BSEC
5. Managing Director, Dhaka Stock Exchange Ltd. (Ref: DSE/2023/IED/1754 dated March 20, 2023)