

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

By Courier Service

Mr. Abdul Quader
(B/O accountholder)
Client code # 11491
Nouvelle Securities Limited
Suit # 719, Dhaka Stock Exchange Building,
9/F, Motijheel C/A, Dhaka-1000

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3035/2021/1162 dated August 30, 2022 based on which the hearing was conducted on September 11, 2022.

Considering the explanation submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Mr. Abdul Quader by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Akram Siraj - 28,12.2622

Assistant Director (Enforcement)

Email: akram@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC

2. P.O. to Commissioner (Enforcement), BSEC

3. P.O. to Director (MIS), BSEC

4. Managing director, DSE