



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3046/2021/1053
Dated: December 03, 2023

By Special Messenger

Mr. Abdus Samad
110/3, Kazi Office Lane, Moghbazar
Ramna, Dhaka.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3046/2021/069 dated 10 January 2023 based on which the hearing was conducted on 10 April, 2023.

The Commission, considering your explanation vide letter ref. nill dated 10.04.2023 has decided to dispose of the proceedings against Mr. Abdus Samad by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
03-12-2023

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/2020/IED/1365 dated February 24, 2020.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.