



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3032/2021/1058**

**Dated: December 05, 2023**

**Mr. Anirban Das Gupta**

Client Code # CM287

City Brokerage Ltd.

Suit # 719, Flat # 4/D, Taj Casilina (3<sup>rd</sup> Floor)

SW (I) 4, 25 Gulshan Avenue Gulshan-1

Dhaka-1212.


**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3032/2021/53 dated 05 January 2023 based on which the hearing was conducted on 22 January 2023.

The Commission, considering your explanation vide letter ref. nill dated 22.01.2023 has decided to dispose of the proceedings against Mr. Anirban Das Gupta by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
05-12-2023

Rubel Hossain

Assistant Director (Enforcement)

E-mail: [rubel@sec.gov.bd](mailto:rubel@sec.gov.bd)

Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.

2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/2019/IED/2566 dated April 18, 2019.

3. PO to Executive Director (ICTD), BSEC.

4. Managing Director, Dhaka Stock Exchange Ltd.