



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2735/2019/119
Dated: February 17, 2021

By Special Messenger

Mr. Anisur Rahman Sarkar
Husband of Client Mrs. Afsana Afroza Bobi
(BO account 1204090062109501, client code 4862)
Shahjalal Islami Bank Securities Ltd.
(DSE TREC No. 233)
Jiban Bima Bhaban (4th Floor)
10, Dilkusha C/A,
Dhaka-1000.

Attention: 1) Chairman, Shahjalal Islami Bank Securities Ltd.
2) Managing Director/CEO, Shahjalal Islami Bank Securities Ltd.

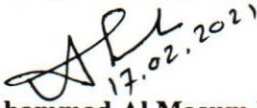
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement /2735/2019/250 dated May 05, 2019 based on which the hearing was conducted on May 22, 2019.

The Commission, considering your explanation vide letter dated May 22, 2019 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.


Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.


25.02.21

D (MIS)