



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3450/2023/682**

**Dated: September 14, 2023**

**By Special Messenger**

Mr. K. M. Sharif Ahmed  
Compliance Officer  
House # 19/B, Road # 01  
Dhanmondi R/A, Dhaka-1205.

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3450/2023/447 dated May 31, 2023 based on which the hearing was conducted on June 11, 2023.

The Commission, considering your explanation vide letter ref. nill dated 11.06.2023 has decided to dispose of the proceedings against Mr. K. M. Sharif Ahmed, Compliance Officer, Beximco Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

*Rubel*  
19-09-2023

Rubel Hossain  
Assistant Director (Enforcement)  
E-mail: [rubel@sec.gov.bd](mailto:rubel@sec.gov.bd)  
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (SMMI), BSEC; with reference to Inter Dept. Memo BSEC/SRI/CCA/DSE/2021/537 dated April 30, 2023.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.