



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3345/2022/ 1243
Date: September 26, 2022

By Courier service

Mr. Kabir Uddin
BO ID (1204500069243998)
City Brokerage Limited
Flat # 4/D, Taj Casilina (3rd Floor) SW (I) 4,
25 Gulshan Avenue Gulshan-1,
Dhaka-1212

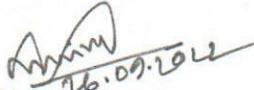
Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3345/2022/1139 dated August 25, 2022 based on which the hearing was conducted on September 11, 2022.

Considering the explanation vide letter dated September 11, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the **Mr. Kabir Uddin** (BO ID # 1204500069243998) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


16.09.2022

Md. Amirul Islam
Assistant Director (Enforcement)
Phone: 01681009385
e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (SRI), BSEC
5. MD, DSE