



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3408/2023/499

Dated: July 04, 2023

Mr. Kazi Md Fazlul Karim

(BO ID: 1201980069657722)

House # 63-C-202, road # 8/A,

Dhanmondi, Jigatola

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3408/2023/285 Dated: March 30, 2023 based on which the hearing was conducted on June 11, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated June 11, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


04.07.2023

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Surveillance Department)
4. P.O to Executive Director (MIS)
5. P.O. to Director (Enforcement), BSEC.