



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3343/2022/1482

Dated: November 16, 2022

Mr. Md. Abu Naser Sarker

(BOID: 1205590062183816, 1202550060693058)

166/167, Shaheed Sayed Nazrul Islam Sarani,

(9th Floor), Purana Paltan, Dhaka-1000.

Phone: 01711521732

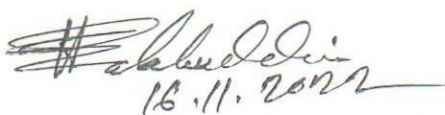
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3343/2022/1317 Dated: October 17, 2022 based on which the hearing conducted on November 06, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated November 03, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (Mr. Md. Abu Naser Sarker) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


16.11.2022

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O to Executive Director (MSI), BSEC
5. P.O. to Director (Enforcement), BSEC.
6. Managing Director, Dhaka Stock Exchange Limited.