



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3515/2023/1128

Dated: December 27, 2023

1. **Mr. Md. Abul Khayer**
(BOID: 1605530052137148,1205590071091116)
Rashid Place, Flat No: 504 House # 356/2, Road # 20,
Block # A, Khilgaon, Dhaka-1213
2. **Mr. Kazi Farid Hasan**
(BOID: 1205590073684359, 1604530073310549)
Vill: Kath Hgli, Post: Golar Bazar, Naria, Shariatpur
3. **Mr. Abul Kalam Matber**
(BOID: 1201950068001021)
Vill: Dinara 54, PO: Dinarahut, Naria, Shariatpur-8020
4. **Mr. Kazi Fuad Hasan**
(BOID: 1604530073680615)
356/2, Road # 20, Tilpapara, Khilgaon, Dhaka

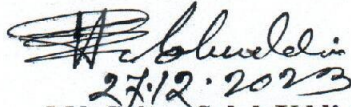
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3515/2023/1032 Dated: November 26, 2023 based on which the hearing was conducted on December 18, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated December 17, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


27/12/2023

Md. Sultan Salah Uddin
Deputy Director (Enforcement)

IP PABX: 88-02-09609-100600, Ext- 1220

E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (SRMIC) [Ref: SEC/SRMIC/94-235]
4. P.O. to Executive Director (MIS)
5. P.O. to Director (Enforcement), BSEC.