

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3008/2021/362
February 23, 2022

By Special Messenger

Mr. Md. Arif Billah
BO ID: 1205000060635342
Prime Islami Securities Ltd.
Room# 167, DSE Tower (Level-9)
Plot # 46, Road # 21
Nikunja-2, Dhaka-1229

Attention: Managing Director/CEO, Prime Islami Securities Limited.

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3008/2021-352 dated May 30, 2021 which was rescheduled vide notice No. BSEC/Enforcement/3008/2021-565 dated August 25, 2021 based on which the hearing was conducted on September 14, 2021.

Considering the explanation vide letter No. NIL dated September 14, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against Mr. Md. Arif Billah by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O. to Executive Director (SRI), BSEC
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