



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

**BSEC/Enforcement/3441/2023/600**

**Dated: August 17, 2023**

**By Special Messenger**

Mr. Md. Mizan  
CO-M Securities Limited  
Room No# 434 (3<sup>rd</sup> Floor),  
9/E, Motijheel C/A, Dhaka-1000.

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3441/2023/395 dated May 15, 2023 and subsequent hearing notice No. BSEC/Enforcement/3441/2023/533 dated July 19, 2023 based on which the hearing was conducted on July 30, 2023.

The Commission, considering your explanation vide letter dated 30.07.2023 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

17-08-2023

Md. Mahmudul Hasan  
Assistant Director (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

**Copy for information:**

1. PS to Chairman, BSEC.
2. PO to Executive Director (MIAD), BSEC- With reference to memo No. BSEC. BSEC/SRI/CCA/DSE/2021/537 Dated April 30, 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited- With reference No: DSE/MCD/BSEC/2023/965 Dated February 13, 2023