

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3016/2021 / 981  
July 04, 2022

By Special Messenger

Mr.Md.Saif Ullah  
Client Code: 49855  
Globe Securities Limited  
Al-Amin Millenium Tower  
75-76, Kakrail, Dhaka-1000.

**Attention:** Managing Director/CEO, Globe Securities Ltd. With request to serve the letter to the concerned person.

**Subject: WARNING to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3016/2021-658 dated September 19, 2021 and reschedule No.BSEC/Enforcement/3016/2021/850 dated June 09,2022 based on which the hearing was conducted on June 26, 2022.

The Commission, considering the explanation vide letter No. dated June 26, 2022 submitted at the time of hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan  
Additional Director (Enforcement)  
Phone: 55007139  
e-mail: yusuf@sec.gov.bd

**Copy for information:**

Chairman's office, BSEC  
P.O to Commissioner (Enforcement), BSEC  
P.O to Executive Director (SRMIC), BSEC  
~~P.O to Executive Director (MIS), BSEC~~  
P.O to Executive Director (Enforcement), BSEC