

## BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

Date: December 17 , 2017

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

## SEC/Enforcement/2277/2016/373

Mr. Mohammad Waziullah Apon Nibas Block-G-1 (1st floor) Road no-9/C, Sector-5, Uttara Dhaka

Subject: Warning: Non-compliance with securities law in connection with share sale declaration

Dear Sir,

This refers to BSEC's show cause-cum-hearing notice no. SEC/Enforcement/2277/2016/315 dated July 26 , 2016 and the accused's explanation letter no. NIL dated September 26, 2016 submitted to the Commission.

The Commission considering your explanation has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

This disposal does not absolve you from his lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement)

Distribution:

Managing Director, Dhaka Stock Exchange Limited Managing Director, Chittagong Stock Exchange Limited

Copy for information:

P.O to Commissioner (Enforcement), BSEC P.O to Executive Director (SRMIC), BSEC P.O to Executive Director (MIS), BSEC Chairman's office, BSEC