

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3023/2021/365

Dated: February 23, 2022

By Special Messenger

Mr. Mostafa Helal Kabir

Client Code: 2348

R.N. Trading Limited

Room # 416 & 418, Stock Exchange Building, 9/F, Motijheel C/A
Dhaka-1000.

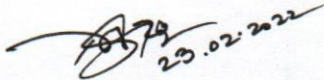
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3023/2021/190 dated January 31, 2022 based on which the hearing was conducted on February 14, 2022.

The Commission, considering the explanation vide letter no. Nil dated February 14, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against you (Mr. Mostafa Helal Kabir, Client Code: 2348 of R.N. Trading Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


23.02.2022

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC