

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3507/2023/2011
Dated: March 05, 2024

Mr. Mostofa Mahbub Ullah
COO of Treasure Securities Limited
Samobay Bank Bhaban (6th Floor)
9/D, Motijheel C/A
Dhaka-1000.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3507/2023/159 dated 18 February 2024 based on which the hearing was conducted on 03 March 2024.

The Commission, considering your explanation vide letter ref. TSL/2024/15 dated 03.03.2024 has decided to dispose of the proceedings against Mr. Mostofa Mahbub Ullah, COO of Treasure Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission, -


05-03-2024

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2023/019/4528 dated 16 August 2023.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited.