



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

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BSEC/Enforcement/3278/2022/ 1694

By Courier Service

Dated: December 28, 2022

Mr. Mujibur Rahman
Client Code# 00039551
EBL Securities Limited
Jiban Bima Bhaban (1st Floor), 10 Dilkusha C/A
Dhaka - 1000

Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3278/2022/1468 dated November 16, 2022 based on which the hearing was scheduled and conducted on November 27, 2022.

The Commission, considering the explanation vide letter no. Nil dated December 27, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Mujibur Rahman by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC
Managing Director, Dhaka Stock Exchange Ltd.