



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

M/R

BSEC/Enforcement/3410/2023/450

By Special Messenger

Dated: June 01, 2023

Mr. Mustafizur Rahman (BO ID: 1202640033535242
Client of Haji Ahmad Brother Securities Limited)
B # 2, H # 23, Road # 4, Block-F, Banani, Dhaka.

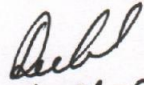
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3410/2023/350 dated May 09, 2023 based on which the hearing was conducted on May 28, 2023.

The Commission, considering your explanation letter no. Nill dated 28.05.2023 has decided to dispose of the proceedings against Mr. Mustafizur Rahman by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


01-06-2023

Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361.

Copy for information:

1. PO to Executive Director (MSI), BSEC.
2. PO to Executive Director (MIS), BSEC.
3. PS to Chairman, BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.