



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3457/2023/603

Dated: August 20, 2023

Mr. Navy Barua

(BOID: 1205670062949674 and 1602510062949674)

Chowdhuri Bari, Pachkhain

Dewanpur-4347, Rawjan, Chattogram

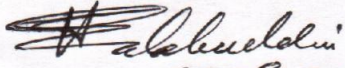
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3457/2023/524 Dated: July 12, 2023 based on which the hearing was conducted on July 30, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter July 30, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


20.08.2023

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

PABX: 88-02-55007131-2, Ext- 1306

E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS), BSEC (File Ref: BSEC/MIS/2020-988)
4. P.O. to Executive Director (MSI), BSEC
5. Managing Director, Dhaka Stock Exchange Ltd. (Ref: DSE/2023/IED/1754 dated March 20, 2023)