

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3142/2021/ ৭৪৯
Date: 25 May, 2022

By Courier Service

Mr. Shamsul Alam Shamim and his associates
House No. 37, Katalgon Petrol Pump
Road: Hathazari, Katalgon, Chakbazar- 4203
Panchlaish, Chittagong.


Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3142/2021/687, Date: 28 April, 2022 based on which the hearing was conducted on May 19, 2022.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. No : SAS/101-0110/05/2022 dated May 19, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (Mr. Shamsul Alam Shamim and his associates) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


25.5.2022

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.