

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2848/2019/ 424
March 07, 2022

By Courier Service

Mr. Syed Mahmudul Haque
Manager, Corporate affairs
United Enterprise Ltd.
Gulshan Centre Point
House # 23-26, Road # 90
Gulshan-2, Dhaka-1212

Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2848/2019-028 dated January 26, 2020 based on which the hearing was conducted on February 27, 2020.

Considering the explanation vide letter No. dated February 27, 2020 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Mr. Syed Mahmudul Haque, Manager-Corporate affairs, United Enterprise Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
Phone: 55007139
e-mail: yusuf@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O. to Executive Director (SRI), BSEC