





Bangladesh Securities and Exchange Commission

BSEC/Enforcement/1018/2012/187 Date: January 27, 2022

Mr. Syed Siraj Ud Dawla S/O Syed Mhbubur Rahman and Syeda Fatema Jinnah 3/14, Block-G, Lalmatia Dhaka-1207.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/1018/2012/388 Date: August 08, 2012 based on which the hearing was conducted on September 04, 2012.

The Commission has decided to dispose of the matter against you (Mr. Syed Siraj Ud Dawla) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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27.01.2022

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Copy for information:

1. PS to the Honorable Chairman, BSEC

2. P.O. to Commissioner (Enforcement), BSEC

_3.P.O to Executive Director (MIS)

4. P.O. to Director (Enforcement), BSEC.