



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2059/2014/1235

By Special Messenger

September 25, 2022

Mrs. Maksuda Hossain
(Client code: 9671)
House No.21/A, Road No.16 (Old 27)
Dhanmondi R/A, Dhaka-1209

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2059/2014/695 dated April 28, 2022 and subsequent letter No. BSEC/Enforcement/2059/2014/1126 dated August 24, 2022 based on which the hearing was conducted on September 11, 2022.

The Commission, considering your explanation vide letter dated September 11, 2022 has decided to dispose of the proceedings against you, Mrs. Maksuda Hossain by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
25.09.22

Mahmuda Shireen
Joint Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (MSI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited