



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
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বিএসইসি/এনফোর্সমেন্ট/৩২৫৪/২০২২/৮৯১
তারিখ: জুন ২০, ২০২২

Mrs. Kazi Sadia Hasan and associates
356/1/A, Road # 20, Khilgaon, Dhaka

বিষয়: আদেশ।

কমিশনের আদেশ নং- বিএসইসি/এনফোর্সমেন্ট/৩২৫৪/২০২২/৮৯০ তারিখ: জুন ১৯, ২০২২ এর সত্যায়িত অনুলিপি আপনার অবগতি ও প্রয়োজনীয় ব্যবস্থা গ্রহণের জন্য এতদসঙ্গে সংযুক্ত করা হলো।

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশনের পক্ষে,


20.06.22

মোঃ সুলতান সালাহ উদ্দিন

উপপরিচালক (এনফোর্সমেন্ট)

টেলিফোন নাম্বার: +৮৮ ০২-৫৫০০৭১৩১-২, এক্স-১৩০৬

ই-মেইল- ssuddin@sec.gov.bd

অবগতির জন্য অনুলিপি:

- ১। নির্বাহী পরিচালক, এমএসআই, বিএসইসি,
- ২। নির্বাহী পরিচালক, এমআইএস, বিএসইসি,
- ৩। চেয়ারম্যান মহোদয়ের দপ্তর, বিএসইসি।

স্বাক্ষরিত
০১৩১১৫৫৭১১



নং-বিএসইসি/এনফোর্সমেন্ট/৩২৫৪/২০২২/৮৯০

তারিখঃ জুন ১৯, ২০২২

আদেশ

যেহেতু, Securities and Exchange Ordinance, 1969 এর Section 2 (cc) মোতাবেক কমিশন অর্থ বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন যা বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন আইন, ১৯৯৩-এর অধীনে গঠিত;

যেহেতু, মে ৩১, ২০২১ তারিখের Surveillance Note-013/2021 অনুযায়ী এবং Regulation 16(3)(c)(ii) and (d)(v) of Dhaka Stock Exchange (Board and Administration) Regulations, 2013 দ্বারা প্রদত্ত ক্ষমতাবলে ঢাকা স্টক এক্সচেঞ্জ লিমিটেড ০৪.০৪.২০২১ থেকে ০৩.০৫.২০২১ পর্যন্ত সময়ের জন্য Dhaka Insurance Limited (DHAKAINS)-এর শেয়ার লেনদেন সংক্রান্ত অনুসন্ধান (investigation) কার্যক্রম পরিচালনা করেছে এবং কমিশনে এ সংক্রান্ত একটি প্রতিবেদন দাখিল করেছে। অনুসন্ধান প্রতিবেদন থেকে অন্যান্যের মধ্যে নিম্নলিখিত বিষয়গুলি উপস্থাপিত হয়:

A. Top Traders:

I. Major buyers of the period:

The price of shares of “DHAKAINS” was increased from Tk. 41.80 to 86.70 which was increased by 107.42% for the period from 04.04.2021 to 03.05.2021. The list of major buyers of the period is as follows:

Position	Client Code	Client Name	BO ID	Name of TREC Holder	Buy Quantity	Sell Quantity	Total Trade	Total Trade Volume in the market	% of total Trade
1	3898	Kazi Sadia Hasan	1205590068284973	UCB Stock Brokerage Limited	1950000	1504349	3454349	22343721	15.46%
4	S2131	Kazi Sadia Hasan	1604530066385504	SBL Capital Management Ltd.	724354	724000	1448354	22343721	6.48%
5	7647	Md. Abul Khayer	1205590071091116	UCB Stock Brokerage Limited	500000	500000	1000000	22343721	4.48%
6	ABM645	Md. Abul Khayer	1605110071091116	Agrani Equity & Investment Limited	250000	115000	365000	22343721	1.63%

Findings:

- It was observed that Kazi Sadia Hasan (Client code # 3898 of UCB Stock Brokerage Limited) was the top buyer of shares of “DHAKAINS” from 04.04.2021 to 03.05.2021. The said client also maintained another code # S2131 of SBL Capital Management Limited. and was the 4th top buyer position during the investigation period. It was also observed that the aforesaid client was associated with Md. Abul Khayer (client code # 7647 of UCB Stock Brokerage Limited and code # ABM645 of Agrani Equity & Investment Limited) who was the 5th and 6th top buyer of shares of “DHAKAINS” from 04.04.2021 to 03.05.2021. It may be mentioned that Md. Abul Khayer is the husband of Kazi Sadia Hasan. The aforesaid clients collectively traded 28.05% of the said shares during the investigation period.

II. Major sellers of the period:

The list of major sellers of share of “DHAKAINS” for the period from 04.04.2021 to 03.05.2021 is as follows:



Position	Client Code	Client Name	BO ID	Name of TREC Holder	Buy Quantity	Sell Quantity	Total Trade	Total Trade Volume in the market	% of total Trade
2	3898	Kazi Sadia Hasan	1205590068284973	UCB Stock Brokerage Limited	1950000	1504349	3454349	22343721	15.46%
3	S2131	Kazi Sadia Hasan	1604530066385504	SBL Capital Management Limited	724354	724000	1448354	22343721	6.48%
5	7647	Md. Abul Khayer	1205590071091116	UCB Stock Brokerage Limited	500000	500000	1000000	22343721	4.48%

B. Trade pattern of Kazi Sadia Hasan and Md. Abul Khayer:

It is observed that Kazi Sadia Hasan and Md. Abul Khayer traded significant amount of shares of 'DHAKAINS' for the period from 04.04.2021 to 03.05.2021. The details of the account are as follows:

BO ID	Client Code	Client Name	Name of TREC Holder
1205590068284973	3898	KAZI SADIA HASAN	UCB Stock Brokerage Limited
1604530066385504	S2131	Kazi Sadia Hasan	SBL Capital Management Limited
1205590071091116	7647	MD. ABUL KHAYER	UCB Stock Brokerage Limited
1605110071091116	ABM645	MD. ABUL KHAYER	Agrani Equity & Investment Limited

The trade details of the account are given below:

a) Individual Trade pattern of Kazi Sadia Hasan and Md. Abul Khayer (Public market):

Date	Code # 3898			Code # S2131			Code # 7647			Code # ABM645			Total										
	BO ID # 1205590068284973			BO ID # 1604530066385504			BO ID # 1205590071091116			BO ID # 1605110071091116													
	KAZI SADIA HASAN			Kazi Sadia Hasan			MD. ABUL KHAYER			MD. ABUL KHAYER													
UCB Stock Brokerage Limited			SBL Capital Management Limited			UCB Stock Brokerage Limited			Agrani Equity & Investment Limited														
Buy Qty	Rate (W.Avg.)	Sale Qty.	Rate (W.Avg.)	Buy Qty	Rate (W.Avg.)	Sale Qty.	Rate (W.Avg.)	Buy Qty	Rate (W.Avg.)	Sale Qty.	Rate (W.Avg.)	Buy Qty	Rate (W.Avg.)	Sale Qty.	Total	Sale Qty.	Sale Rate (W.Avg.)	Total					
21-04-2021	0	0.00	0	0.00	724354	55.70	0	0.00	0	0.00	0	0.00	0	0.00	724354	55.70	40348361.70	0	0.00	0.00			
25-04-2021	0	0.00	0	0.00	724000	68.60	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	724000	68.60	49664000.00	0	0.00	0.00	
28-04-2021	0	0.00	0	0.00	0	0.00	0	0.00	500000	73.53	0	0.00	0	0.00	500000	73.53	36767235.10	0	0.00	0.00	0	0.00	0.00
02-05-2021	0	0.00	512406	86.47	0	0.00	0	0.00	500000	86.50	0	0.00	0	0.00	0	0.00	1012406	86.49	87560132.70	0	0.00	0.00	
03-05-2021	0	0.00	931943	86.44	0	0.00	0	0.00	0	0.00	0	0.00	115000	86.89	0	0.00	1046943	86.49	90551440.10	0	0.00	0.00	
Total	0	0.00	1444349	724354	724000	500000	500000	0	0	115000	1224354	1224354	0	0.00	2783349	86.49	90551440.10	0	0.00	0.00			

b) Effects of 'DHAKAINS' at DSE by Kazi Sadia Hasan and Md. Abul Khayer:

Date	Buy			Sale			Bonus Share Credited	Cost of shares sold		Stock Position			Comparison with the Market					
	Qty.	Price (W.Avg.)	Amount	Qty.	Price (W.Avg.)	Amount		Price (W.Avg.)	Amount	Qty.	Price (W.Avg.)	Amount	Mkt Trade Qty at DSE	Mkt Close Price at DSE	Market price Changed by	Client Buy (%)	Client Sale (%)	Client Trade (%)
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
Opening Balance	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00%	0.00%	0.00%	0.00%
01-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	167600	41.80	0.00%	0.00%	0.00%	0.00%
04-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	80806	38.90	-6.94%	0.00%	0.00%	0.00%
05-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	46049	40.60	4.37%	0.00%	0.00%	0.00%
06-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	258001	44.00	8.37%	0.00%	0.00%	0.00%
07-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	264907	45.30	2.95%	0.00%	0.00%	0.00%
08-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	398569	46.20	1.99%	0.00%	0.00%	0.00%
11-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	236419	44.20	-4.33%	0.00%	0.00%	0.00%
12-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	231811	46.10	4.30%	0.00%	0.00%	0.00%
13-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	945039	50.70	9.98%	0.00%	0.00%	0.00%
15-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	280461	49.70	-1.97%	0.00%	0.00%	0.00%
18-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	606340	48.60	-2.21%	0.00%	0.00%	0.00%
19-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	508786	52.40	7.82%	0.00%	0.00%	0.00%
20-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	866997	51.70	-1.34%	0.00%	0.00%	0.00%
21-04-2021	724354	55.70	40348361.70	0	0.00	0.00	0	0.00	0.00	724354	55.70	40348361.70	1120907	56.80	9.86%	64.62%	0.00%	64.62%
22-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	724354	55.70	40348361.70	1097054	62.40	9.86%	0.00%	0.00%	0.00%
25-04-2021	0	0.00	0.00	724000	68.60	49664000.00	0	55.70	40328643.00	354	55.70	19718.70	3585637	67.50	8.17%	0.00%	20.19%	20.19%
26-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	354	55.70	19718.70	957664	65.80	-2.52%	0.00%	0.00%	0.00%
27-04-2021	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00	354	55.70	19718.70	1838821	71.60	8.81%	0.00%	0.00%	0.00%
28-04-2021	2450000	70.08	171707235.10	0	0.00	0.00	0	0.00	0.00	2450354	70.08	171726953.80	3283045	71.60	0.00%	74.63%	0.00%	74.63%
29-04-2021	250000	72.00	18000000.00	0	0.00	0.00	0	0.00	0.00	2700354	70.26	189726953.80	1947847	78.70	9.92%	12.83%	0.00%	12.83%
02-05-2021	0	0.00	0.00	1072406	86.24	92480132.70	0	70.26	75347278.03	1627948	70.26	114379675.77	2276310	86.20	9.53%	0.00%	47.11%	47.11%
03-05-2021	0	0.00	0.00	1046943	86.49	90551440.10	0	70.26	73558246.88	581005	70.26	40821428.89	1722251	86.70	0.56%	0.00%	60.79%	60.79%
Total	3424354	67.18	23005596.80	2843349	81.84	232695572.80	0	66.55	189234167.91	581005	70.26	40821428.89						



c) Trade monitoring screenshots of Kazi Sadia Hasan and Md. Abul Khayer (Public market):

The Investigation Team collected Trade monitoring screenshots from the Surveillance Department on some trading dates where appearance of active trading of Kazi Sadia Hasan and Md. Abul Khayer was observed to influence the price of 'DHAKAINS'.

After scrutinizing the Trade monitoring screenshots collected from Surveillance Department, it is found that Kazi Sadia Hasan and Md. Abul Khayer was involved in trading of shares of 'DHAKAINS' which influenced price of shares of the said company on several dates as follows:

- ❖ It was observed that on 21/04/2021, total number of trade of shares of 'DHAKAINS' in the market was 681, whereas number of trades executed by Kazi Sadia Hasan and Md. Abul Khayer were 335 which is approximately 49.20% of the total number of trades in the market. Again, total volume of 'DHAKAINS' in the market on that day was 11,20,907 whereas buy volume by the said client was 724,354 which is approximately 64.62% of the total volume in the market. It is evident that the client had significant participation in the market on 21/04/2021 in trading of 'DHAKAINS' share.

On 21-04-2021, trading of DHAKAINS share was started at Tk. 51.70 which is similar as the YCP of Tk. 51.70. On that day, Kazi Sadia Hasan and Abul Khayer started series of trading at 10:14:33 at price Tk. 51.90 and induced the same to rise to Tk. 56.80 up to 11:00:03. It can also be observed from the above graph that Kazi Sadia Hasan and Abul Khayer executed significant trades during this time period. It is mentionable here that from 10:14:33 to 11:00:03, Kazi Sadia Hasan and Abul Khayer bought 724,354 shares of DHAKAINS compared to the total traded share of 1,016,044.

It is also found that during the aforementioned period, Kazi Sadia Hasan and Abul Khayer executed 335 howlas to purchase of shares of DHAKAINS compared to the total number of howlas of 606.

It is observed from the above graphs and screenshot that Kazi Sadia Hasan and Abul Khayer bought 71.29% of the total trades of DHAKAINS shares by executing 335 of total 606 howlas from 10:14:33 to 11:00:03 on 21-04-2021. From 10:14:33 to 11:00:03, Kazi Sadia Hasan and Abul Khayer induced the price to rise from Tk. 51.90 to Tk. 56.80. Thus it seems that Kazi Sadia Hasan and Abul Khayer were actively trying to lift the price of DHAKAINS share. At the end of the day price of DHAKAINS share was increased by Tk. 5.10 which is approximately 9.86% higher than YCP.

- ❖ It was observed that on 28/04/2021, total number of trades of DHAKAINS in the market was 1338, whereas number of trades executed by Kazi Sadia Hasan and Md. Abul Khayer were 212 which is approximately 15.80% of the total number of trades in the market. Again, total volume of DHAKAINS in the market on that day was 13,33,045 whereas buy volume by the said client was 5,00,000 which is approximately 37.5% of the total volume in the market. It is evident that



the client had significant participation in the market on 28/04/2021 in trading of share of 'DHAKAINS'.

On 28-04-2021, trading of DHAKAINS share was started at Tk. 73.00 which is Tk. 1.40 more than the YCP of Tk. 71.60. On that day, Kazi Sadia Hasan and Abul Khayer started series of trading at 11:12:35 at price of Tk. 69.20 and induced the same to rise to Tk. 75.00 up to 11:16:06. It can also be observed from the above graph that Kazi Sadia Hasan and Abul Khayer executed significant trades during this time period. It is mentionable here that from 11:12:35 to 11:16:06, Kazi Sadia Hasan and Abul Khayer bought 500,000 shares of DHAKAINS compared to the total traded share of 521,192.

It is also found that during the aforementioned period, Kazi Sadia Hasan and Abul Khayer executed 212 howlas to purchase of shares of DHAKAINS compared to the total number of howlas of 234.

It is observed from the above graphs and screenshot that Kazi Sadia Hasan and Abul Khayer bought 95.93% of the total trades of DHAKAINS shares by executing 212 of total 234 howlas from 11:12:35 to 11:16:06 on 28-04-2021. From 11:12:35 to 11:16:06, Kazi Sadia Hasan and Abul Khayer induced the price to rise from Tk. 69.20 to Tk. 75.00. Thus it seems that Kazi Sadia Hasan and Abul Khayer were actively trying to lift the price of DHAKAINS share.

d) Gain/Loss of Kazi Sadia Hasan and Md. Abul Khayer by trading shares of DHAKAINS from 04.04.2021 to 03.05.2021:

Summary																	
Block Buy			Block Sale			Total Buy			Total Sale			Cost of Shares Sold		Stock Position			
Qty	Price (W.Avg.)	Amount	Qty	Price (W.Avg.)	Amount	Qty	Price (W.Avg.)	Amount	Qty	Price (W.Avg.)	Amount	Price (W.Avg.)	Amount	Qty	Price (W.Avg.)	Amount	
2200000	69.52	152940000.00	60000	82.00	4920000.00	3424354	67.18	230055596.80	2843349	81.84	232695572.80	66.55	189234167.91	581005	70.26	40821428.89	

Realized Capital gain(loss)per unit	15.29	Average Sale Price - Average Cost Price
Realized Capital gain(loss)%	22.97%	Gain (loss) Per Unit/Cost Per Unit
Realized Capital gain(loss)TK	43461404.89	Total Sale Quantity x Gain (loss) Per Unit
Closing Price (03-05-2021)	86.70	Closing Price of Last Investigation Date
Unrealized gain(loss) per unit	16.44	Closing Price of Last Investigation Date - Average Price of Stock Position
Unrealized gain(loss) %	23.40%	Unrealized gain (loss) per unit /Average Price of Stock Position
Unrealized gain(loss) TK	9551704.61	Quantity of Stock Positon x Unrealized gain (loss) per unit

As per DSE trade data, Kazi Sadia Hasan and Md. Abul Khayer bought total 3,424,354 shares of "DHAKAINS" from 04.04.2021 to 03.05.2021. They traded 28.05% of total trade volume of 22,343,721 shares of "DHAKAINS" with the said BO accounts and realized a capital gain of Tk. 43,461,404.89 by trading of shares of "DHAKAINS" during the investigation period. Moreover, their unrealized capital gain is Tk. 9,551,704.61 during the investigation period.



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
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Findings:

As per Section 17(e)(v) of the Securities and Exchange Ordinance, 1969, "No person shall, for the purpose of inducing, dissuading, effecting, preventing or in any manner influencing or turning to his advantage, the sale or purchase of any security, directly or indirectly, -

(e) do any act or practice or engage in a course of business, or omit to do any act which operates or would operate as a fraud, deceit or manipulation upon any person, in particular-

.....
(v) directly or indirectly effect a series of transactions in any security creating the appearance of active trading therein or of raising of price for the purpose of inducing its purchase by others or depressing its price for the purpose of inducing its sale by others;"

Hence, it is observed from the aforesaid discussion that Kazi Sadia Hasan and Md. Abul Khayer was involved directly and indirectly in a series of transaction of share of "DHAKAINS" in order to create the appearance of active trading of the said share.

Contraventions: Through the aforesaid activities it appears that Kazi Sadia Hasan and Md. Abul Khayer (BOID # 1205150068192662) has violated Section 17(e)(v) of Securities and Exchange Ordinance, 1969 by directly or indirectly effecting a series of transactions in the shares of "DHAKAINS" creating the appearance of active trading therein and raising price of the said share.

যেহেতু, উপর্যুক্ত আলোচ্য বিষয়ে আইন ভঙ্গের কারণে কমিশনরে এনফোর্সমেন্টে বিভাগ কর্তৃক ফেব্রুয়ারী ১৫, ২০২২ তারিখ বিএসইসি/এনফোর্সমেন্ট/৩২৫৪/২০২২/৩০৫ স্মারকমূলে Mrs. Kazi Sadia Hasan and their associates -কে মার্চ ০৭, ২০২২ তারিখে কারণ প্রদর্শন সহ শুনানীতে উপস্থিতি হতে বলা হয়। শুনানীতে উপস্থিত হয়ে Mrs. Kazi Sadia Hasan and their associates -এর পক্ষে Mr. Md. Abul Khayer (on behalf) নিম্নলিখিত বক্তব্য দাখিল করেন;

"With due respect, we, Md. Abul Khayer and Kazi Sadia Hasan hereby would like to take the opportunity to explain our position in respect of your letter no. # BSEC/Enforcement/3254/2022/305 dated February 15, 2022 regarding DHAKAINS.

Pursuant to your above-mentioned letter, we have tried to trade significant quantity of shares to improve the market scenario and increase the market volume in the very difficult situation like COVID-19 hit the capital market significantly where market volume reduced to very low and capital market was depressed and volume was required to stabilize the market. We, all know that the current economic data shows significant growth trajectory of Bangladesh Economy under the daughter of Bangabandhu HH Prime Minister Sheikh Hasina M.P., the depressed situation of capital market will not sustain, it must be rebounded significantly. It needs leader with good number of market participants who will work for the development of market like existing Chairman sir along with his team of BSEC.

In addition to the above, we have given buy order to our concerned trader to buy the mentioned shares of NRBC Bank Limited on the different dates but not for manipulative purpose. This is fresh buy and sales of shares. We believe that the price of NRBC is undervalued that's why we given this



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সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
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buy order to purchase shares. We have no other motive but to get the opportunity to get the gain from undervalued shares which is evident from its current price.

We always try to comply rules and regulation at the time trade. In addition to this, our trade volume helps to provide sufficient liquidity in the market which ultimately increase the turnover of the exchanges.”

যেহেতু, Mrs. Kazi Sadia Hasan and associates-এর উপরোক্ত বক্তব্য থেকে প্রতীয়মান হয় যে, উপস্থাপিত অভিযোগসমূহ সঠিক সেহেতু এক্ষেত্রে Mrs. Kazi Sadia Hasan and associates-এর ব্যাখ্যা কমিশনের নিকট গ্রহণযোগ্য হিসেবে বিবেচিত হয়নি;

যেহেতু, Mrs. Kazi Sadia Hasan and associates-এর উপরোক্ত কর্মকাণ্ডের ফলে Section 17 (e) (v) of the Securities and Exchange Ordinance, 1969 লংঘন করেছে, যা সিকিউরিটিজ আইনের পরিপন্থী;


যেহেতু, Mrs. Kazi Sadia Hasan and associates-এর উপরোক্ত কর্মকাণ্ড Securities and Exchange Ordinance, 1969 এর Section 22 অনুযায়ী শাস্তিযোগ্য অপরাধ;

যেহেতু, কমিশনের বিবেচনায়, সিকিউরিটিজ আইন ও বিধি-বিধান পরিপালনে আলোচ্য ব্যর্থতার জন্য, পুঁজিবাজারের শৃংখলা, স্বচ্ছতা এবং জনস্বার্থে আলোচ্য Mrs. Kazi Sadia Hasan and associates -কে জরিমানা করা প্রয়োজন ও সমীচীন;

অতএব, সেহেতু, কমিশন উল্লিখিত যাবতীয় বিষয় বিবেচনাপূর্বক, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) এর Section 22 [যা The Securities and Exchange (Amendment) Act, 2000 দ্বারা সংশোধিত] এ প্রদত্ত ক্ষমতাবলে-

- Mrs. Kazi Sadia Hasan and associates -কে ৯৫ (পাঁচানব্বই) লক্ষ টাকা জরিমানা ধার্য্য করল যা অত্র আদেশের ৩০ (ত্রিশ) দিনের মধ্যে 'বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন' এর অনুকূলে ইস্যুকৃত ব্যাংক ড্রাফট/পে-অর্ডারের মাধ্যমে জমা প্রদান করতে হবে, অন্যথায় সিকিউরিটিজ আইন মোতাবেক পরবর্তী আইনানুগ ব্যবস্থা গ্রহণ করা হবে।

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশনের আদেশক্রমে,


মোঃ আবদুল হালিম
কমিশনার

বিতরণ:

১। Mrs. Kazi Sadia Hasan and associates
356/1/A, Road # 20, Khilgaon, Dhaka.