

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3623/2024/576
Dated: July 03, 2024

Ms. Luna Siddique
(BOID # 1204480000007848)
House # 34A, Road # 7, Sector-3
Uttara R/A, Dhaka.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3623/2024/477 Dated: May 27, 2024 based on which the hearing was conducted on June 30, 2024.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated June 30, 2024 which was submitted at the time of hearing relating to share trading of Pragati Life Insurance Ltd. (PRAGATILIF) for the period from 11.04.2023 to 08.06.2023, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


03.07.2024

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX (IP-Phone): +880-9609100600, Ext- 1220
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. P.O. to Commissioner (Enforcement), BSEC.
3. P.O to Executive Director (Surveillance), BSEC. {Ref: BSEC/MSI/2021-998}
- ✓ 4. P.O to Executive Director (MIS), BSEC.
5. P.O. to Director (Enforcement), BSEC.
6. Managing Director, Dhaka Stock Exchange PLC (DSE/2024/IED/2035 dated March 25, 2024).