

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3032/2021/317

Dated: April 01, 2024

Ms. Badrun Nesa and associates
Client Code # E71 & 1682
EBL Securities Limited
Jiban Bima Bhaban
10, Dilkusha C/A, Dhaka-1000

Permanent Address:

Badrun Nesa
Flat # 7F, Sheltech Surma
7/1 & 7/C, Shanty Bagh, Dhaka-1000.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3032/2021/54 date: 05 January 2023, rescheduled notice no. BSEC/Enforcement/3032/2021/1084 date 10 December 2023 and further rescheduled notice no. BSEC/Enforcement/3032/2021/1131 date 28 December 2023 and no. BSEC/Enforcement/3032/2021/42 date 21 January 2024 based on which the hearing was scheduled on 22 January 2023, 24 December 2023, 11 January 2024 and 11 February 2024 respectively.

The Commission, considering and examining the facts regarding allegations, has decided to dispose of the proceedings against Ms. Badrun Nesa, by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission


01-07-2024
Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361.

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter ref. DSE/2019/IED/2566 dated 18 April 2019.
3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange PLC.