

Bangladesh Securities and Exchange Commission

Enforcement Division

Enforcement Department

Market, Market Intermediaries and Other Affairs Section

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নং-বিএসইসি/এনফোর্সমেন্ট/৩৫১৫/২০২৩/১০৭৭

তারিখঃ সেপ্টেম্বর ২১, ২০২৫

Ms. Nurunnesa Saki

(BOID: 1202220062010221, 1203490062660005,
1203490062687277, 1203630063127665)

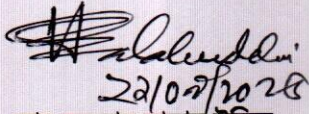
Aligarh House (5th Floor),

146, Motijheel C/A, Dhaka

বিষয়: আদেশ।

কমিশনের আদেশ নং-বিএসইসি/এনফোর্সমেন্ট/৩৫১৫/২০২৩/১০৭৬ তারিখঃ সেপ্টেম্বর ২১, ২০২৫ এর সত্যায়িত
অনুলিপি আপনার অবগতি ও প্রয়োজনীয় ব্যবস্থা গ্রহণের জন্য এতদসঙ্গে সংযুক্ত করা হলো।

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশনের পক্ষে,



মোঃ সুলতান সালাহ উদ্দিন

উপপরিচালক (এনফোর্সমেন্ট)

IP PABX: 88-02-09609-100600, Ext- 1220

ই-মেইল- ssuddin@sec.gov.bd

অবগতির জন্য অনুলিপি:

১. চেয়ারম্যান মহোদয়ের দপ্তর, বিএসইসি।
২. পিও টু সকল কমিশনার, বিএসইসি।
৩. পিও টু নির্বাহী পরিচালক, এনফোর্সমেন্ট, বিএসইসি।
৪. পিও টু নির্বাহী পরিচালক, এমআইএস, বিএসইসি।
৫. পিও টু নির্বাহী পরিচালক, এসআরএমআইসি, বিএসইসি।

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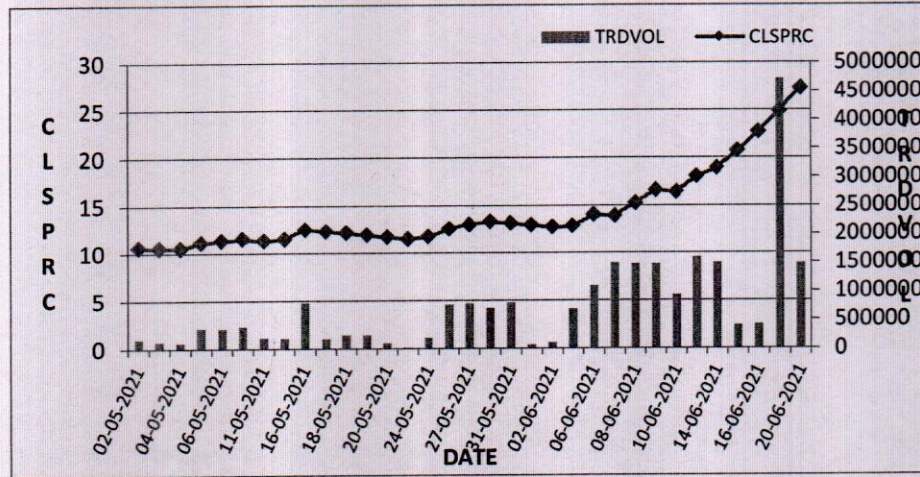
তারিখঃ সেপ্টেম্বর ২১, ২০২৫

আদেশ

যেহেতু, Securities and Exchange Ordinance, 1969 এর Section 2 (cc) মোতাবেক কমিশন অর্থাৎ বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন যা বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন আইন, ১৯৯৩-এর অধীনে গঠিত;

যেহেতু, DSE Surveillance Note # 33/2021 dated June 21, 2021 অনুযায়ী এবং Regulation 16(3)(c)(ii) and (d)(v) of Dhaka Stock Exchange (Board and Administration) Regulations, 2013 দ্বারা প্রদত্ত ক্ষমতাবলে ঢাকা স্টক এক্সচেঞ্জ লিমিটেড ০২.০৫.২০২১ থেকে ২০.০৬.২০২১ পর্যন্ত সময়ে Safko Spinnings Mills Limited (SAFKOSPINN)-এর শেয়ার লেনদেন সংক্রান্ত তদন্ত (investigation) কার্যক্রম পরিচালনা করেছে এবং কমিশনে এ সংক্রান্ত একটি প্রতিবেদন দাখিল করেছে। তদন্ত প্রতিবেদন থেকে অন্যান্যের মধ্যে নিম্নলিখিত বিষয়গুলি উপস্থাপিত হয়:

TRENDS OF CLOSING PRICE AND TRADE VOLUME OF "SAFKOSPINN" IN PUBLIC MARKET



YCP of SAFKOSPINN share as on 02.05.2021 was Tk. 9.70 and closing price of the same was Tk. 27.30 as on 20.06.2021. During this period from 02.05.2021 to 20.06.2021, price of SAFKOSPINN shares was increased by Tk. 17.60 which is approximately 181.44% higher compared to closing price of 29.04.2021.

A. Trade details of Mr. Md. Sajib Hossain and his associates:

Observation-1:

Mr. Md. Sajib Hossain was one of the top buyers of "SAFKOSPINN" share for the period from 02.05.2021 to 20.06.2021. After examining the relevant documents, it was found that the said client is associated with twenty-two accounts from which he and his associates traded shares of SAFKOSPINN during the investigation period. Details are as follows:

Sl. No.	Client Name	BO ID	Client Code	Name of Depository Participant	Remarks
1	Md. Sajib Hossain and Nur Nabi Alias Rakib	1204290062837591	3828	AIBL Capital Market Services Ltd	The mentioned bank account (account no # 1781500044632) belongs to Md. Sajib Hossain and Taslima Begum.

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2	Md. Sajib Hossain	1201820058169115	M4993	BRAC EPL Stock Brokerage Limited	1. Md. Mohibullah Kabir is the relative and nominee of the account. 2. The mentioned bank account (account no # 1781500044632) belongs to Md. Sajib Hossain and Taslima Begum.
3	Md. Sajib Hossain	1202220061669542	10226	Meghna Bank Securities Limited	Abdul Mabud Masum is the brother (cousin) and nominee of the account
4	Md. Sajib Hossain	1203630061627022	3842	Subvalley Securities Limited	The mentioned bank account (account no # 1781500044632) belongs to Md. Sajib Hossain and Taslima Begum
5	Md. Sharif	1201820057713577	M4992	BRAC EPL Stock Brokerage Limited	1. Md. Mohibullah Kabir is the relative and nominee of the account. 2. The mentioned bank account (account no # 1781500044946) belongs to Md. Sharif and ARIFA BEGUM LUCKY.
6	MD ABDUL QUDDUS AMIN	1201820073658571	M5067	BRAC EPL Stock Brokerage Limited	Md. Mohibullah Kabir is the brother and nominee of the account
7	MD. ABDUL QUDDUS AMIN	1205000053774966	01023	Prime Islami Securities Limited	Md. Mohibullah Kabir is the brother and nominee of the account
8	MD. ABDUL QUDDUS AMIN	1201630053774966	6841	Dhaka Bank Securities Limited	Md. Mohibullah Kabir is the brother and nominee of the account
9	MD. SULEAMAN	1201820057713716	M4996	BRAC EPL Stock Brokerage Limited	Md. Mohibullah Kabir is the relative and nominee of the account
10	MD. SULEAMAN	1202220061669518	10225	Meghna Bank Securities Limited	Abdul Mabud Masum is the nominee of the account
11	MD. SULEAMAN	1203630061655092	3841	Subvalley Securities Limited	The mentioned bank account (account no # 1781500044902) belongs to MD. SULEAMAN and NURUNNESA SAKI
12	NURUNNESA SAKI	1202220062010221	10263	Meghna Bank Securities Limited	Abdul Mabud Masum is the brother and nominee of the account
13	NURUNNESA SAKI	1203490062660005	27005	Shanta Securities Limited	Md. Mohibullah Kabir is the brother and nominee of the account
14	NURUNNESA SAKI and MD. SULEAMAN	1203490062687277	27427	Shanta Securities Limited	Md. Mohibullah Kabir is the brother and nominee of the account
15	NURUNNESA SAKI	1203630063127665	3876	Subvalley Securities Limited	Md. Mohibullah Kabir is the brother and nominee of the account
16	Md. Belal Hossain	1201820073656182	M5066	BRAC EPL Stock Brokerage Limited	The account holder is the uncle of Md. Mohibullah Kabir who is the nominee of the account
17	Kazi Mahiuddin Ahmed	1205600067302041	1959	Standard Bank Securities Limited	Md. Belal Hossain is the cousin and nominee of the account
18	Taslima Begum and Md. Sajib Hossain	1203490062725088	27426	Shanta Securities Limited	Md. Mohibullah Kabir is the brother of Taslima Begum and nominee of the account
19	A.K.M.Khalilur Rahman	1203500033302828	K265	AL Securities Limited	Md. Mohibullah Kabir is the niece and nominee of the account
20	MST. MAHMUDA AKTER	1203630072252493	3922	Subvalley Securities Limited	Md. Mohibullah Kabir is the husband and nominee of the account
21	MALIHA TARANNUM SHIFA	1201630058804743	7132	Dhaka Bank Securities Limited	Wife of MD. ABDUL QUDDUS AMIN
22	ARIFA BEGUM LUCKY	1203490065145771	27280	Shanta Securities Limited	1. Md. Mohibullah Kabir is the brother and nominee of the account. 2. The mentioned bank account (account no # 1781500044946) belongs to Md. Sharif and ARIFA BEGUM LUCKY.

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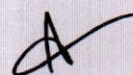
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Individual Trade Patterns, Trade monitoring screenshots, Effects of “SAFKOSPINN” at DSE in public market by the said client and gain and loss statement of Md. Sajib Hossain and his associates are given below:

a) Individual Trade Patterns of Md. Sajib Hossain and his associates (Only Public Market):

Date	Code # 3828				Code # M4993				Code # 10226				Code # 3842				Code # M4992				Code # M5067			
	BO ID # 1204290062837591				BO ID # 1201820058169115				BO ID # 1202220061669542				BO ID # 1203630061627022				BO ID # 1201820057713577				BO ID # 1201820073658571			
	MD. SAJIB HOSSAIN				MD. SAJIB HOSSAIN				MD. SAJIB HOSSAIN				MD. SAJIB HOSSAIN				Md. Sharif				MD ABDUL QUDDUS AMIN			
	AIBL Capital Market Services Ltd				BRAC EPL Stock Brokerage Limited				Meghna Bank Securities Limited				Subvalley Securities Limited				BRAC EPL Stock Brokerage Limited				BRAC EPL Stock Brokerage Limited			
26	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate
03-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
05-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	11.10	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
06-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	11.10	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
09-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	11.30	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
12-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	3499	11.23	1500	11.70	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
16-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
17-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
18-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	20000	12.10	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
19-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	20000	12.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
20-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	10000	11.80	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
24-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
25-05-2021	200000	12.38	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
27-05-2021	45663	12.47	0	0.00	0	0.00	0	0.00	0	0.00	10000	12.70	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
30-05-2021	315337	13.32	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
31-05-2021	174025	13.14	14025	13.10	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
03-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	20000	12.80	0	0.00
06-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	171945	13.88	0	0.00	272955	13.61	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
07-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	846221	13.83	0	0.00	0	0.00	0	0.00
08-06-2021	650000	14.93	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
09-06-2021	190000	16.55	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
10-06-2021	1000	17.00	0	0.00	0	0.00	0	0.00	11200	16.66	10000	17.30	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
13-06-2021	2000	16.80	0	0.00	334969	17.27	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	140002	17.34	0	0.00	0	0.00	0	0.00
14-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	9500	17.90	0	0.00	0	0.00	0	0.00	390403	18.71	0	0.00	0	0.00	0	0.00
15-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	2650	19.86	0	0.00	0	0.00	0	0.00
17-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
20-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
Total	1578025		14025		334969		0		246144		51500		272955		0		1379276		0		20000		0	

Date	Code # 01023				Code # 6841				Code # M4996				Code # 10225				Code # 3841				Code # 10263			
	BO ID # 1205000053774966				BO ID # 1201630053774966				BO ID # 1201820057713716				BO ID # 1202220061669518				BO ID # 1203630061655092				BO ID # 1202220062010221			
	MD. ABDUL QUDDUS AMIN				MD. ABDUL QUDDUS AMIN				MD. SULEAMAN				MD. SULEAMAN				MD. SULEAMAN				NURUNNESA SAKI			
	Prime Islami Securities Limited				Dhaka Bank Securities Limited				BRAC EPL Stock Brokerage Limited				Meghna Bank Securities Limited				Subvalley Securities Limited				Meghna Bank Securities Limited			
26	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate
03-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
05-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	11.10
06-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	11.00
09-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	11.30
12-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	20000	11.50	0	0.00	0	0.00	2500	11.20	4644	11.70
16-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	30000	12.14	0	0.00	0	0.00	0	0.00	0	0.00
17-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	30000	12.30	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
18-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	20000	12.10	0	0.00
19-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	20000	11.90	0	0.00	0	0.00	0	0.00	20000	11.90	0	0.00
20-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	11.80	0	0.00
24-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10229	11.78	0	0.00



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25-05-2021	0	0.00	100000	12.50	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	12.42
27-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10000	12.70	0	0.00	0	0.00	0	0.00	10000	12.70
30-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
31-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
03-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
06-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	53000	14.00	0	0.00	92907	13.82	0	0.00	35000	13.92	0	0.00
07-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	207093	13.80	0	0.00	0	0.00	0	0.00
08-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	6656	15.20	10000	14.00	0	0.00	0	0.00	0	0.00	0	0.00
09-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
10-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	13700	16.63	10000	17.30	0	0.00	0	0.00	1800	16.70	0	0.00
13-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	504147	18.00	0	0.00	9000	18.00	10000	16.80	0	0.00	0	0.00	9000	18.00	10000	16.80
14-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	138500	18.06	0	0.00	0	0.00	0	0.00	388000	18.20	0	0.00
15-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
17-06-2021	0	0.00	100000	24.90	0	0.00	45940	24.90	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
20-06-2021	0	0.00	100000	25.54	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
Total	0		300000		0		45940		504147		0		270856		90000		300000		0		496529		69644	

Date	Code # 27005				Code # 27427				Code # 3876				Code # M5066				Code # 1959				Code # 27426			
	BO ID # 1203490062660005				BO ID # 1203490062687277				BO ID # 1203630063127665				BO ID # 1201820073656182				BO ID # 1205600067302041				BO ID # 1203490062725088			
	NURUNNESA SAKI				NURUNNESA SAKI				NURUNNESA SAKI				Md. Belal Hossain				Kazi Mahluddin Ahmed				Taslima Begum			
	Shanta Securities Limited				Shanta Securities Limited				Subvalley Securities Limited				BRAC EPL Stock Brokerage Limited				Standard Bank Securities Limited				Shanta Securities Limited			
26	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate
03-05-2021	0	0.00	0	0.00	10650	10.40	10650	10.89	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
05-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
06-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
09-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
12-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
16-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
17-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
18-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
19-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
20-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
24-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
25-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
27-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
30-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
31-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
03-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
06-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	60000	13.87	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
07-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
08-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
09-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
10-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
13-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
14-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
15-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
17-06-2021	0	0.00	28010	24.90	0	0.00	80000	24.90	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	354850	24.90
20-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	70000	25.87	0	0.00	0	0.00	0	0.00	0	0.00
Total	0		28010		10650		90650		60000		0		0		70000		0		0		0		354850	

Bangladesh Securities and Exchange Commission

Enforcement Division

Enforcement Department

Market, Market Intermediaries and Other Affairs Section

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Date	Code # K265				Code # 3922				Code # 7132				Code # 27280				Total					
	BO ID # 1203500033302828				BO ID # 1203630072252493				BO ID # 1201630058804743				BO ID # 1203490065145771									
	A.K.M.Khalilur Rahman				MST. MAHMUDA AKTER				MALIHA TARANNUM SHIFA				ARIFA BEGUM LUCKY									
	AL Securities Limited				Subvalley Securities Limited				Dhaka Bank Securities Limited				Shanta Securities Limited									
26	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Rate	Sale Qty.	Rate	Buy Qty	Buy Rate	Total	Sale Qty.	Sale Rate	Total
03-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10650	10.40	110760.00	10650	10.09	116015.00
05-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0.00	20000	11.10	222000.00
06-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0.00	20000	11.05	221000.00
09-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0.00	20000	11.30	226000.00
12-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	5999	11.22	67288.70	26144	11.55	301884.80
16-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0.00	30000	12.14	364300.50
17-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	30000	12.30	369000.00	0	0.00	0.00
18-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	40000	12.10	484000.00	0	0.00	0.00
19-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	60000	11.93	716000.00	0	0.00	0.00
20-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	20000	11.80	236000.00	0	0.00	0.00
24-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	10229	11.78	120502.20	0	0.00	0.00
25-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	200000	12.38	2476185.20	115000	12.49	1436330.00
27-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	45663	12.47	569321.20	30000	12.70	381000.00
30-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	315337	13.32	4200893.60	0	0.00	0.00
31-05-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	174025	13.14	2286238.00	14025	13.10	183727.50
03-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	20000	12.80	256000.00	0	0.00	0.00
06-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	685807	13.77	9446961.90	0	0.00	0.00
07-06-2021	0	0.00	0	0.00	7000	13.90	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	1060314	13.83	14659398.10	0	0.00	0.00
08-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	656656	14.94	9808708.20	10000	14.00	140000.00
09-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	190000	16.55	3143848.80	0	0.00	0.00
10-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	27700	16.66	461490.00	20000	17.30	346000.00
13-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	999118	17.66	17643988.60	20000	16.80	336000.00
14-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	926403	18.42	17068128.70	0	0.00	0.00
15-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	2650	19.86	52620.00	0	0.00	0.00
17-06-2021	0	0.00	261873	24.90	7000	24.90	7000	24.90	0	0.00	66000	24.90	0	0.00	98806	24.90	7000	24.90	174300.00	1042479	24.90	25957727.10
20-06-2021	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0.00	170000	25.68	4364800.00
Total	0		261873		14000		7000		0		66000		0		98806		5487551			1548298		

b) Effects of "SAFKOSPINN" at DSE (both Public Market and Block Market) by Md. Sajib Hossain and his associates:

Date	Buy			Sale			Bonus Share Credited	Cost of shares sold		Stock Position			Comparison with the Market					
	Qty.	Price	Amount	Qty.	Price	Amount		Price (W.Avg.)	Amount	Qty.	Price (W.Avg.)	Amount	Mkt Trade Qty at DSE	Mkt Close Price at DSE	Market price Changed by	Client Buy (%)	Client Sale (%)	Client Trade (%)
	1	2	3	4	5	6		7	8	9	10	11	12	13	14	15	16	17
27																		
Opening Balance										3243506	13.71	44476232.37						
03-05-2021	10650	10.40	110760.00	10650	10.89	116015.00		13.70	145921.54	3243506	13.70	44441070.83	122202	10.50	-0.94%	8.72%	8.72%	17.43%
05-05-2021	0	0.00	0.00	20000	11.10	222000.00		13.70	274031.07	3223506	13.70	44167039.76	358048	11.10	5.71%	0.00%	5.59%	5.59%
06-05-2021	0	0.00	0.00	20000	11.05	221000.00		13.70	274031.07	3203506	13.70	43893008.69	344553	11.30	1.80%	0.00%	5.80%	5.80%
09-05-2021	0	0.00	0.00	20000	11.30	226000.00		13.70	274031.07	3183506	13.70	43618977.62	382504	11.50	1.77%	0.00%	5.23%	5.23%
12-05-2021	5999	11.22	67288.70	26144	11.55	301884.80		13.70	358091.22	3163361	13.70	43328175.10	178237	11.50	1.77%	3.37%	14.67%	18.03%
16-05-2021	0	0.00	0.00	30000	12.14	364300.50		13.70	410906.39	3133361	13.70	42917268.70	802111	12.50	8.70%	0.00%	3.74%	3.74%
17-05-2021	30000	12.30	369000.00	0	0.00	0.00		0.00	0.00	3163361	13.68	43286268.70	171540	12.30	-1.60%	17.49%	0.00%	17.49%
18-05-2021	40000	12.10	484000.00	0	0.00	0.00		0.00	0.00	3203361	13.66	43770268.70	233676	12.10	-1.63%	17.12%	0.00%	17.12%
19-05-2021	60000	11.93	716000.00	0	0.00	0.00		0.00	0.00	3263361	13.63	44486268.70	235420	11.90	-1.65%	25.49%	0.00%	25.49%
20-05-2021	20000	11.80	236000.00	0	0.00	0.00		0.00	0.00	3283361	13.62	44722268.70	95303	11.70	-1.68%	20.99%	0.00%	20.99%
24-05-2021	10229	11.78	120502.20	0	0.00	0.00		0.00	0.00	3293590	13.62	44842770.90	185104	11.70	1.74%	5.53%	0.00%	5.53%
25-05-2021	200000	12.38	2476185.20	115000	12.49	1436330.00		13.54	1557618.37	3378590	13.54	45761337.74	763427	12.50	6.84%	26.20%	15.06%	41.26%
27-05-2021	45663	12.47	569321.20	30000	12.70	381000.00		13.53	405904.52	3394253	13.53	45924754.42	779148	13.00	4.00%	5.86%	3.85%	9.71%
30-05-2021	315337	13.32	4200893.60	0	0.00	0.00		0.00	0.00	3709590	13.51	50125648.02	701906	13.30	2.31%	44.93%	0.00%	44.93%
31-05-2021	174025	13.14	2286238.00	14025	13.10	183727.50		13.50	189276.41	3869590	13.50	52222609.61	799192	13.10	-1.50%	21.78%	1.75%	23.53%
03-06-2021	542217	12.51	6783712.50	0	0.00	0.00		0.00	0.00	4411807	13.37	59006322.11	1204790	12.80	0.79%	45.01%	0.00%	45.01%
06-06-2021	1087307	13.49	14666461.90	220000	13.00	2860000.00		13.40	2947386.16	5279114	13.40	70725397.85	1490812	14.00	9.37%	72.93%	14.76%	87.69%
07-06-2021	1060314	13.83	14659398.10	0	0.00	0.00		0.00	0.00	6339428	13.47	85384795.95	1486595	13.90	-0.71%	71.33%	0.00%	71.33%
08-06-2021	656656	14.94	9808708.20	10000	14.00	140000.00		13.61	136066.84	6986084	13.61	95057437.31	1474414	15.20	9.35%	44.54%	0.68%	45.21%
09-06-2021	190000	16.55	3143848.80	0	0.00	0.00		0.00	0.00	7176084	13.68	98201286.11	1466281	16.60	9.21%	12.96%	0.00%	12.96%
10-06-2021	27700	16.66	461490.00	20000	17.30	346000.00		13.70	273919.31	7183784	13.70	98388856.80	926965	16.40	-1.20%	2.99%	2.16%	5.15%
13-06-2021	999118	17.66	17643988.60	20000	16.80	336000.00		14.18	283598.28	8162902	14.18	115749247.13	1640517	18.00	9.76%	60.90%	1.22%	62.12%
14-06-2021	926403	18.42	17068128.70	0	0.00	0.00		0.00	0.00	9089305	14.61	132817375.83	1489848	18.90	5.00%	62.18%	0.00%	62.18%
15-06-2021	302650	19.60	5932620.00	5277972	19.60	103468251.80		14.77	77972966.54	4113983	14.77	60777029.29	5706246	20.70	9.52%	5.30%	92.49%	97.80%
16-06-2021	0	0.00	0.00	50000	20.70	1035000.00		14.77	738664.08	4063983	14.77	60038365.21	465219	22.70	9.66%	0.00%	10.75%	10.75%
17-06-2021	7000	24.90	174300.00	1084696	24.90	27008930.40		14.79	1604340.99	2986287	14.79	44169528.22	4752412	24.90	9.69%	0.15%	22.82%	22.97%
20-06-2021	0	0.00	0.00	170000	25.68	4364800.00		14.79	2514418.04	2816287	14.79	41654840.18	1529352	27.30	9.64%	0.00%	11.12%	11.12%
Total	6711268	15.20	101978845.70	7138487	20.03	143011240.00	0	14.68	104800237.89	2816287	14.79	41654840.18						

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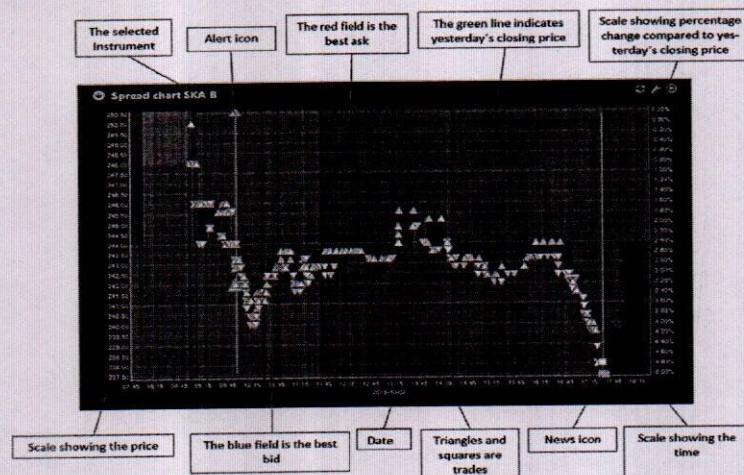
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c) Trade monitoring screenshots of Md. Sajib Hossain and his associates (public market)

IED collected Trade monitoring screenshots from Surveillance Department on some trading date(s) where appearance of active trading of Md. Sajib Hossain and his associates were observed which influenced the price of SAFKOSPINN.

The details of the graphs are given below:

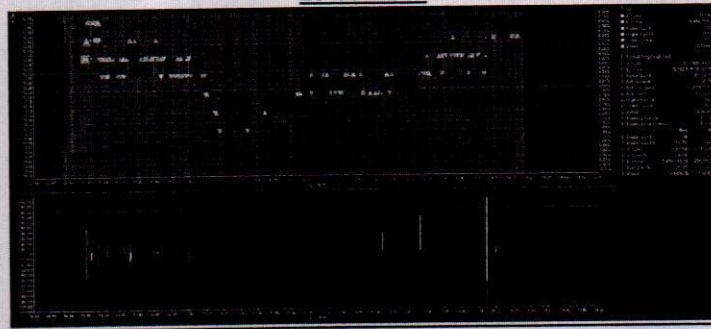


▲ Green triangle represents trade of **SAFKOSPINN** by Md. Sajib Hossain and his associates

△ White triangle represents trade of **SAFKOSPINN** by other clients

After scrutinizing the trade monitoring screenshots collected from Surveillance Department, it is found that Md. Sajib Hossain and his associates were involved in trading of shares of SAFKOSPINN which influenced price of the said shares on several dates:

25-05-2021

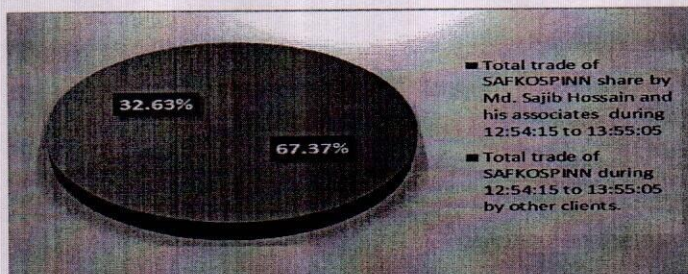


It was observed in the above graph that on 25-05-2021, total number of trades of SAFKOSPINN in the market was 356, whereas number of trades (buy) executed by Md. Sajib Hossain and his associates were 56 which is approximately 15.73% of the total number of trades in the market. Again, total traded volume of SAFKOSPINN in the market on that day was 763,427 whereas buy volume by the said client(s) was 200,000 which is approximately 26.20% of the total traded volume in the market.

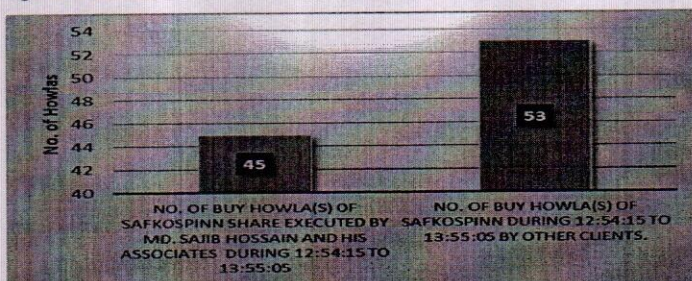
On 25-05-2021, trading of SAFKOSPINN share was started at Tk. 12.40 which is Tk. 0.70 more than the YCP of Tk. 11.70. On that day, Md. Sajib Hossain and his associates started series of trading at 12:54:15 at price Tk. 12.20 and induced the same to rise to Tk. 12.50 up to 13:55:05. It can also be

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observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 12:54:15 to 13:55:05, Md. Sajib Hossain and his associates bought 146,571 shares of SAFKOSPINN compared to the total traded share of 217,557. This is shown in the following Pie Chart.

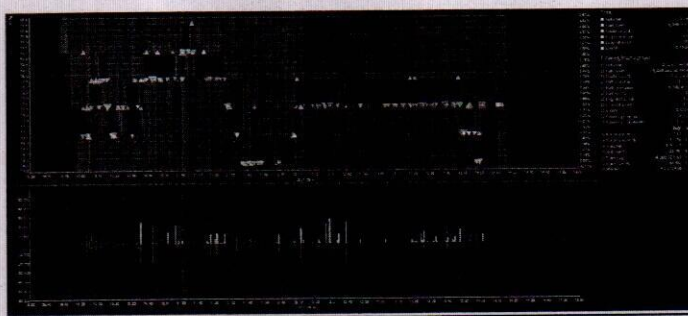


It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 45 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 98 as shown in the following Bar Chart.



It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 67.37% of the total trades of SAFKOSPINN shares by executing 45 of total 98 howlas from 12:54:15 to 13:55:05 on 25-05-2021. From 12:54:15 to 13:55:05, Md. Sajib Hossain and his associates induced the price to rise from Tk. 12.20 to Tk. 12.50. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share. At the end of the day price of SAFKOSPINN share was increased by Tk. 0.80 which is approximately 6.84% higher than YCP.

30-05-2021



It was observed in the above graph that on 30-05-2021, total number of trades of SAFKOSPINN in the market was 348, whereas number of trades (buy) executed by Md. Sajib Hossain and his associates were 112 which is approximately 32.18% of the total number of trades in the market. Again, total traded volume of SAFKOSPINN in the market on that day was 701,906 whereas buy volume by the said client(s) was 315,337 which is approximately 44.93% of the total traded volume in the market. It is evident that the client had significant participation in the market on 30-05-2021 in trading of SAFKOSPINN share.

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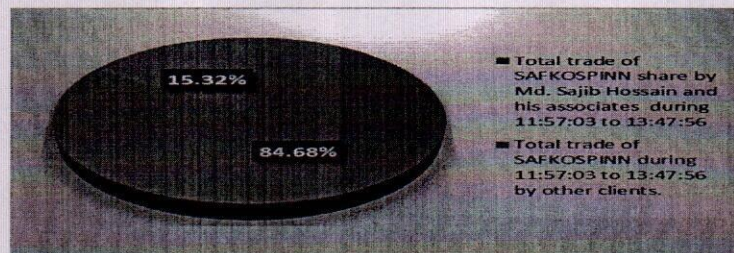
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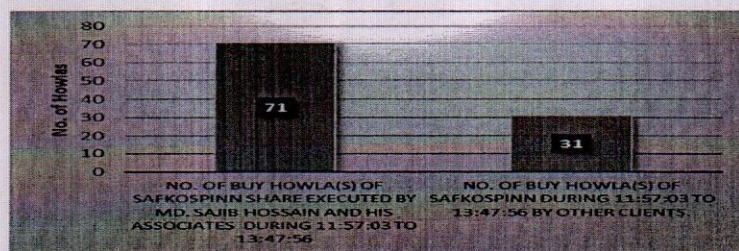
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On 30-05-2021, trading of SAFKOSPINN share was started at Tk. 13.20 which is Tk. 0.20 more than the YCP of Tk. 13.00. On that day, Md. Sajib Hossain and his associates started series of trading at 11:57:03 at price Tk. 13.10 and induced the same to rise to Tk. 13.30 up to 13:47:56. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 11:57:03 to 13:47:56, Md. Sajib Hossain and his associates bought 195,000 shares of SAFKOSPINN compared to the total traded share of 230,278. This is shown in the following Pie Chart.

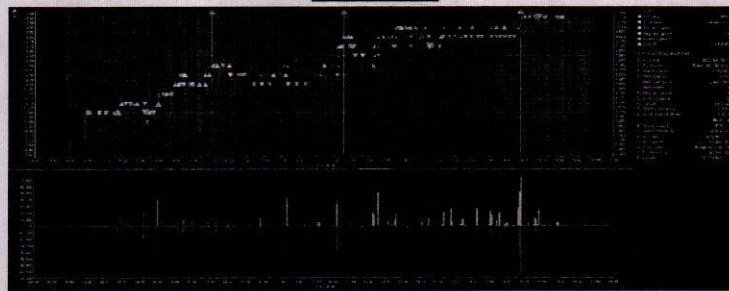


It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 71 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 102 as shown in the following Bar Chart.



It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 84.68% of the total trades of SAFKOSPINN shares by executing 71 of total 102 howlas from 11:57:03 to 13:47:56 on 30-05-2021. From 11:57:03 to 13:47:56, Md. Sajib Hossain and his associates induced the price to rise from Tk. 13.10 to Tk. 13.30. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share. At the end of the day price of SAFKOSPINN share was increased by Tk. 0.30 which is approximately 2.31% higher than YCP.

06-06-2021



It was observed in the above graph that on 06-06-2021, total number of trades of SAFKOSPINN in the market was 619, whereas number of trades (buy) executed by Md. Sajib Hossain and his associates were 375 which is approximately 60.58% of the total number of trades in the market. Again, total traded volume of SAFKOSPINN in the market on that day was 1,089,312 whereas buy volume by the said client(s) was 685,807 which is approximately 62.96% of the total traded volume in the market. It is evident that the client had significant participation in the market on 06-06-2021 in trading of SAFKOSPINN share.

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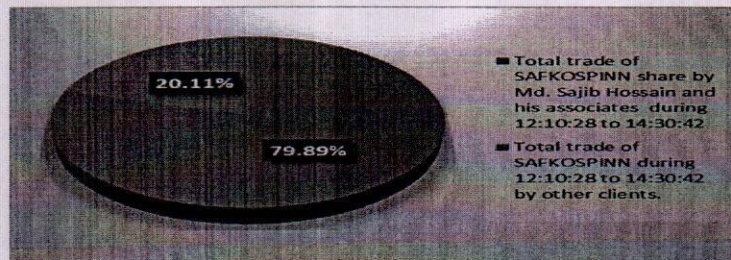
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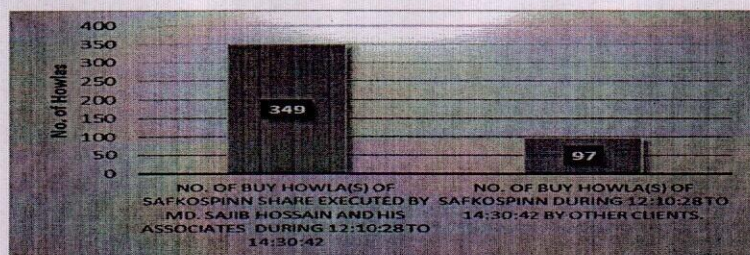
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On 06-06-2021, trading of SAFKOSPINN share was started at Tk. 13.00 which is Tk. 0.20 more than the YCP of Tk. 12.80. On that day, Md. Sajib Hossain and his associates started series of trading at 12:10:28 at price Tk. 13.40 and induced the same to rise to Tk. 14.00 up to 14:30:42. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 12:10:28 to 14:30:42, Md. Sajib Hossain and his associates bought 592,497 shares of SAFKOSPINN compared to the total traded share of 741,668. This is shown in the following Pie Chart.

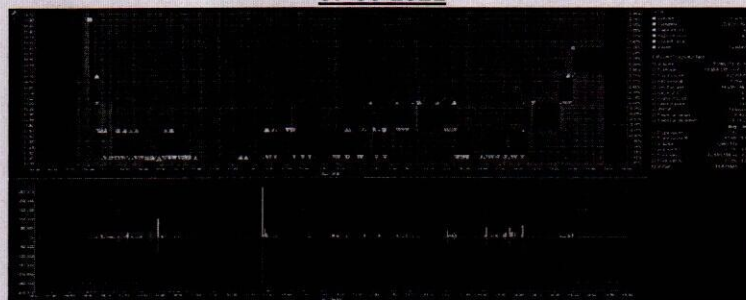


It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 349 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 446 as shown in the following Bar Chart.



It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 79.89% of the total trades of SAFKOSPINN shares by executing 349 of total 446 howlas from 12:10:28 to 14:30:42 on 06-06-2021. From 12:10:28 to 14:30:42, Md. Sajib Hossain and his associates induced the price to rise from Tk. 13.40 to Tk. 14.00. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share. At the end of the day price of SAFKOSPINN share was increased by Tk. 1.20 which is approximately 9.37% higher than YCP.

07-06-2021



It was observed in the above graph that on 07-06-2021, total number of trades of SAFKOSPINN in the market was 433, whereas number of trades (buy) executed by Md. Sajib Hossain and his associates were 232 which is approximately 53.58% of the total number of trades in the market. Again, total traded volume of SAFKOSPINN in the market on that day was 1,486,595 whereas buy volume by the said client(s) was 1,060,314 which is approximately 71.33% of the total traded volume in the market. It is evident that the client had significant participation in the market on 07-06-2021 in trading of SAFKOSPINN share.

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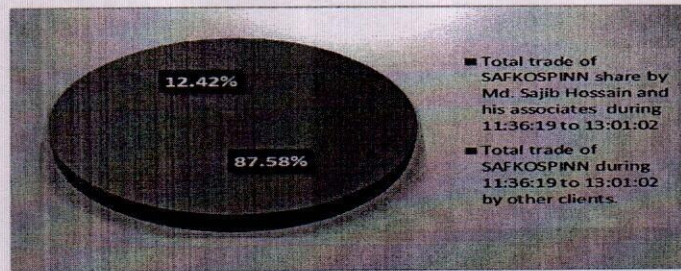
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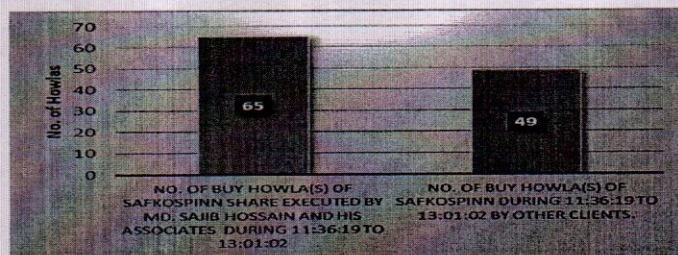
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On 07-06-2021, trading of SAFKOSPINN share was started at Tk. 14.30 which is Tk. 0.30 more than the YCP of Tk. 14.00. On that day, Md. Sajib Hossain and his associates started series of trading at 11:36:19 at price Tk. 13.80 and induced the same to rise to Tk. 14.00 up to 13:01:02. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 11:36:19 to 13:01:02, Md. Sajib Hossain and his associates bought 437,319 shares of SAFKOSPINN compared to the total traded share of 499,346. This is shown in the following Pie Chart.

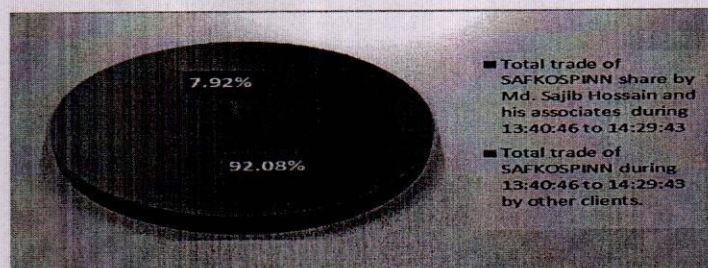


It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 65 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 114 as shown in the following Bar Chart.



It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 87.58% of the total trades of SAFKOSPINN shares by executing 65 of total 114 howlas from 11:36:19 to 13:01:02 on 07-06-2021. From 11:36:19 to 13:01:02, Md. Sajib Hossain and his associates induced the price to rise from Tk. 13.80 to Tk. 14.00. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share.

Besides on that day, Md. Sajib Hossain and his associates started series of trading at 13:40:46 at price Tk. 13.80 and induced the same to rise to Tk. 14.10 up to 14:29:43. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 13:40:46 to 14:29:43, Md. Sajib Hossain and his associates bought 344,102 shares of SAFKOSPINN compared to the total traded share of 373,702. This is shown in the following Pie Chart.



It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 76 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 85 as shown in the following Bar Chart.

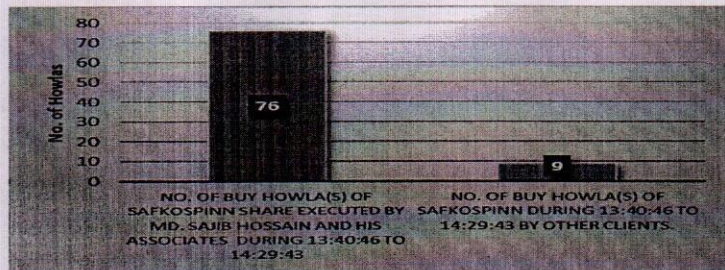
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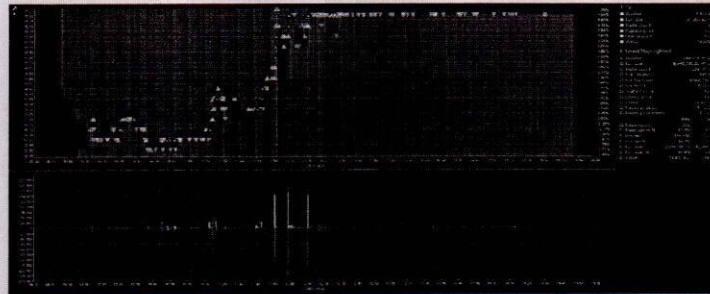
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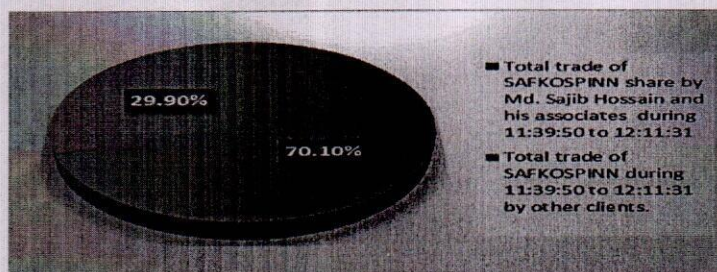
It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 92.08% of the total trades of SAFKOSPINN shares by executing 76 of total 85 howlas from 13:40:46 to 14:29:43 on 07-06-2021. From 13:40:46 to 14:29:43, Md. Sajib Hossain and his associates induced the price to rise from Tk. 13.80 to Tk. 14.10. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share.

08-06-2021



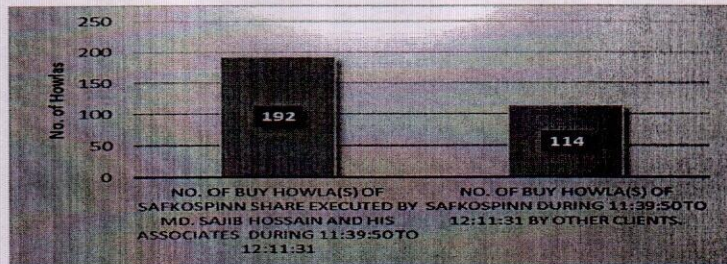
It was observed in the above graph that on 08-06-2021, total number of trades of SAFKOSPINN in the market was 629, whereas number of trades (buy) executed by Md. Sajib Hossain and his associates were 212 which is approximately 33.70% of the total number of trades in the market. Again, total traded volume of SAFKOSPINN in the market on that day was 1,474,414 whereas buy volume by the said client(s) was 656,656 which is approximately 44.54% of the total traded volume in the market. It is evident that the client had significant participation in the market on 08-06-2021 in trading of SAFKOSPINN share.

On 08-06-2021, trading of SAFKOSPINN share was started at Tk. 14.10 which is Tk. 0.20 more than the YCP of Tk. 13.90. On that day, Md. Sajib Hossain and his associates started series of trading at 11:39:50 at price Tk. 14.30 and induced the same to rise to Tk. 15.20 up to 12:11:31. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 11:39:50 to 12:11:31, Md. Sajib Hossain and his associates bought 560,400 shares of SAFKOSPINN compared to the total traded share of 799,413. This is shown in the following Pie Chart.



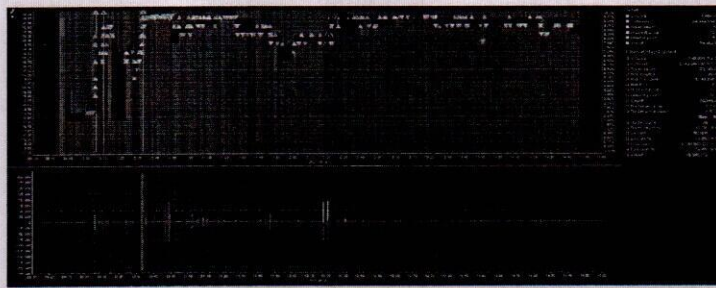
It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 192 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 306 as shown in the following Bar Chart.

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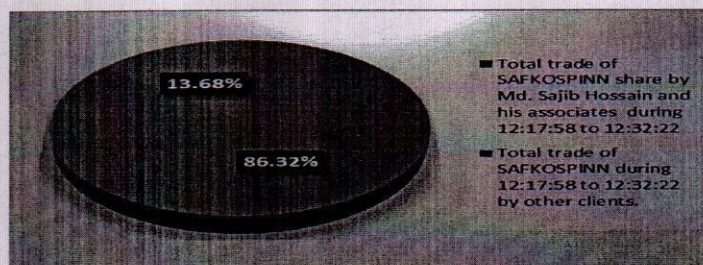
It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 70.10% of the total trades of SAFKOSPINN shares by executing 192 of total 306 howlas from 11:39:50 to 12:11:31 on 08-06-2021. From 11:39:50 to 12:11:31, Md. Sajib Hossain and his associates induced the price to rise from Tk. 14.30 to Tk. 15.20. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share. At the end of the day price of SAFKOSPINN share was increased by Tk. 1.30 which is approximately 9.35% higher than YCP.

09-06-2021



It was observed in the above graph that on 09-06-2021, total number of trades of SAFKOSPINN in the market was 652, whereas number of trades (buy) executed by Md. Sajib Hossain and his associates were 70 which is approximately 10.74% of the total number of trades in the market. Again, total traded volume of SAFKOSPINN in the market on that day was 1,466,281 whereas buy volume by the said client(s) was 190,000 which is approximately 12.96% of the total traded volume in the market.

On 09-06-2021, trading of SAFKOSPINN share was started at Tk. 15.60 which is Tk. 0.40 more than the YCP of Tk. 15.20. On that day, Md. Sajib Hossain and his associates started series of trading at 12:17:58 at price Tk. 16.40 and induced the same to rise to Tk. 16.70 up to 12:32:22. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 12:17:58 to 12:32:22, Md. Sajib Hossain and his associates bought 190,000 shares of SAFKOSPINN compared to the total traded share of 220,101. This is shown in the following Pie Chart.



It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 70 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 84 as shown in the following Bar Chart.

[Handwritten Signature]

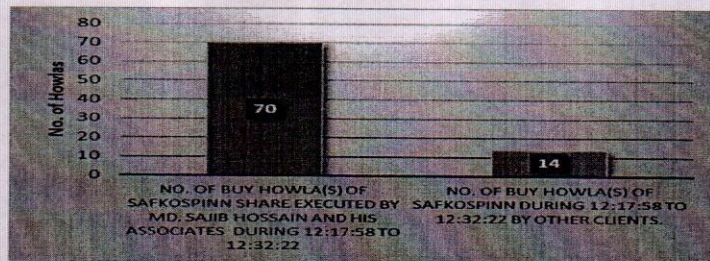
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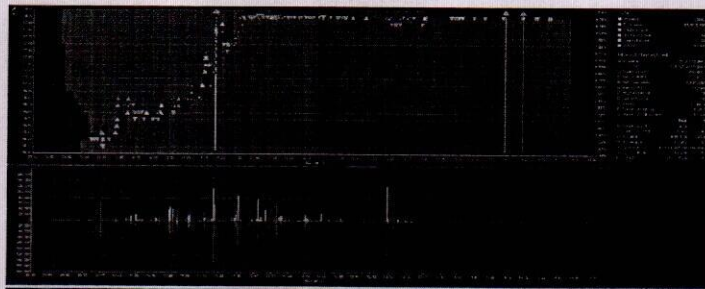
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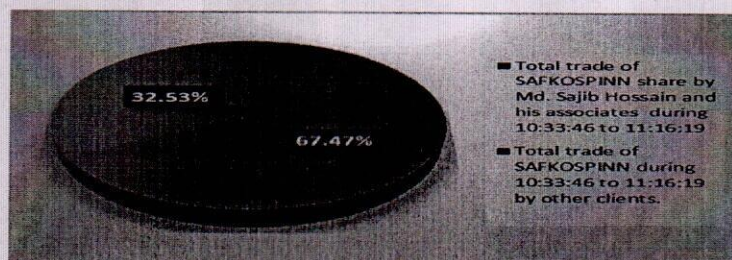
It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 86.32% of the total trades of SAFKOSPINN shares by executing 70 of total 84 howlas from 12:17:58 to 12:32:22 on 09-06-2021. From 12:17:58 to 12:32:22, Md. Sajib Hossain and his associates induced the price to rise from Tk. 16.40 to Tk. 16.70. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share. At the end of the day price of SAFKOSPINN share was increased by Tk. 1.40 which is approximately 9.21% higher than YCP.

13-06-2021



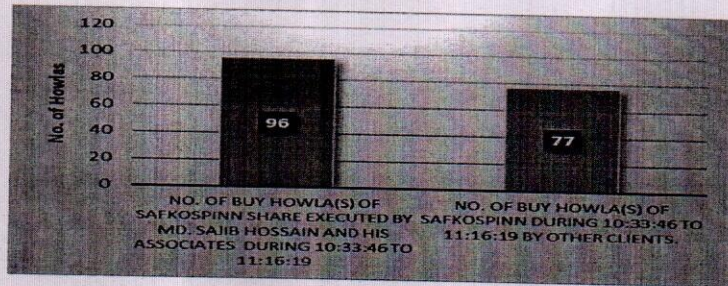
It was observed in the above graph that on 13-06-2021, total number of trades of SAFKOSPINN in the market was 495, whereas number of trades (buy) executed by Md. Sajib Hossain and his associates were 279 which is approximately 56.36% of the total number of trades in the market. Again, total traded volume of SAFKOSPINN in the market on that day was 1,588,427 whereas buy volume by the said client(s) was 999,118 which is approximately 62.90% of the total traded volume in the market. It is evident that the client had significant participation in the market on 13-06-2021 in trading of SAFKOSPINN share.

On 13-06-2021, trading of SAFKOSPINN share was started at Tk. 16.20 which is Tk. 0.20 less than the YCP of Tk. 16.40. On that day, Md. Sajib Hossain and his associates started series of trading at 10:33:46 at price Tk. 16.50 and induced the same to rise to Tk. 18.00 up to 11:16:19. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 10:33:46 to 11:16:19, Md. Sajib Hossain and his associates bought 374,949 shares of SAFKOSPINN compared to the total traded share of 555,740. This is shown in the following Pie Chart.



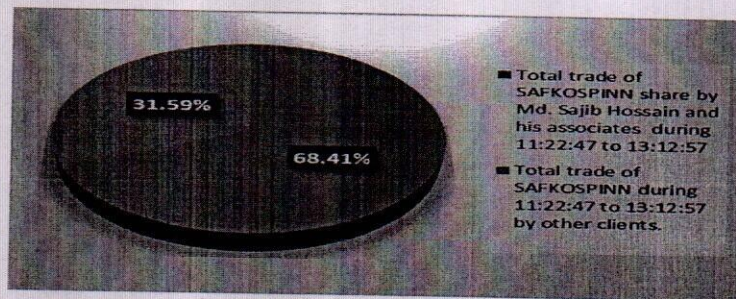
It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 96 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 173 as shown in the following Bar Chart.

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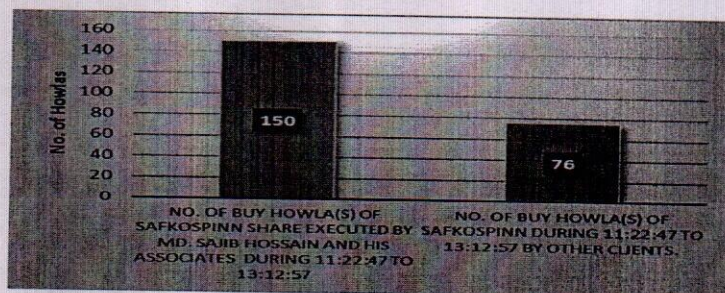


It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 67.47% of the total trades of SAFKOSPINN shares by executing 96 of total 173 howlas from 10:33:46 to 11:16:19 on 13-06-2021. From 10:33:46 to 11:16:19, Md. Sajib Hossain and his associates induced the price to rise from Tk. 16.50 to Tk. 18.00. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share.

Besides on that day, Md. Sajib Hossain and his associates started series of trading at 11:22:47 at price Tk. 17.50 and induced the same to rise to Tk. 18.00 up to 13:12:57. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 11:22:47 to 13:12:57, Md. Sajib Hossain and his associates bought 572,349 shares of SAFKOSPINN compared to the total traded share of 836,605. This is shown in the following Pie Chart.



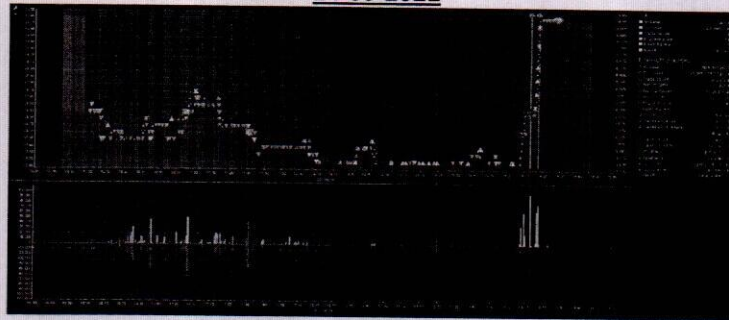
It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 150 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 226 as shown in the following Bar Chart.



It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 68.41% of the total trades of SAFKOSPINN shares by executing 150 of total 226 howlas from 11:22:47 to 13:12:57 on 13-06-2021. From 11:22:47 to 13:12:57, Md. Sajib Hossain and his associates induced the price to rise from Tk. 17.50 to Tk. 18.00. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share. At the end of the day price of SAFKOSPINN share was increased by Tk. 1.60 which is approximately 9.76% higher than YCP.

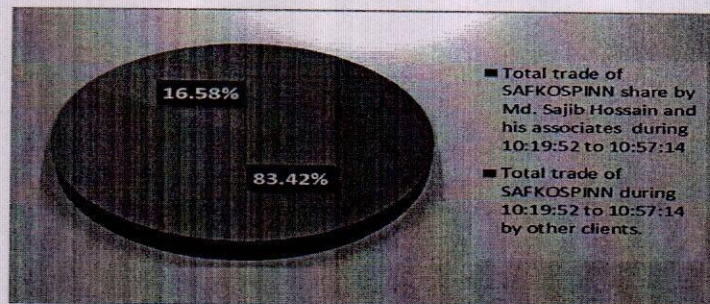
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14-06-2021

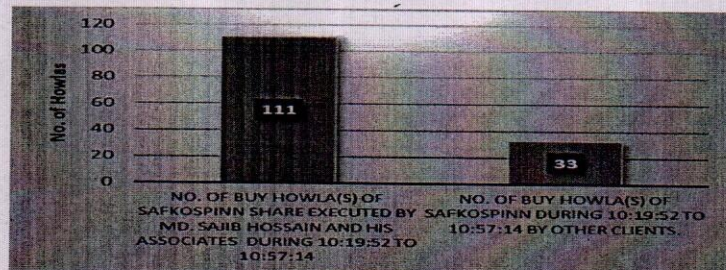


It was observed in the above graph that on 14-06-2021, total number of trades of SAFKOSPINN in the market was 687, whereas number of trades (buy) executed by Md. Sajib Hossain and his associates were 363 which is approximately 52.84% of the total number of trades in the market. Again, total traded volume of SAFKOSPINN in the market on that day was 1,489,848 whereas buy volume by the said client(s) was 926,403 which is approximately 62.18% of the total traded volume in the market. It is evident that the client had significant participation in the market on 14-06-2021 in trading of SAFKOSPINN share.

On 14-06-2021, trading of SAFKOSPINN share was started at Tk. 18.40 which is Tk. 0.40 more than the YCP of Tk. 18.00. On that day, Md. Sajib Hossain and his associates started series of trading at 10:19:52 at price Tk. 18.00 and induced the same to rise to Tk. 18.70 up to 10:57:14. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 10:19:52 to 10:57:14, Md. Sajib Hossain and his associates bought 316,332 shares of SAFKOSPINN compared to the total traded share of 379,204. This is shown in the following Pie Chart.



It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 111 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 144 as shown in the following Bar Chart.



It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 83.42% of the total trades of SAFKOSPINN shares by executing 111 of total 144 howlas from 10:19:52 to 10:57:14 on 14-06-2021. From 10:19:52 to 10:57:14, Md. Sajib Hossain and his associates induced the price to rise from Tk. 18.00 to Tk. 18.70. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share.

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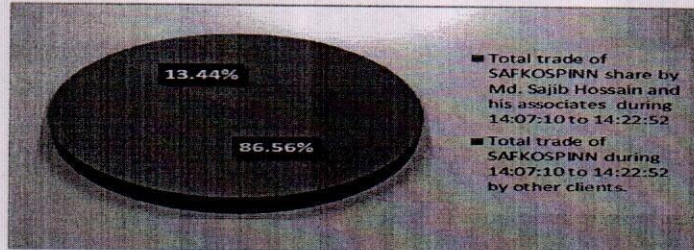
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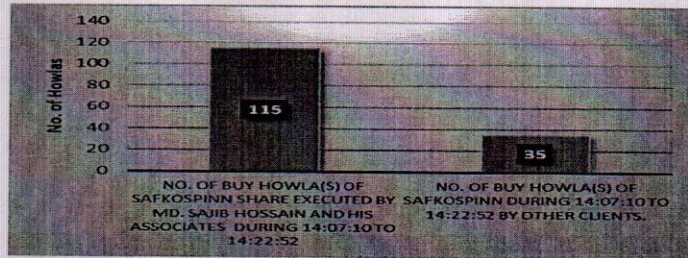
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Besides on that day, Md. Sajib Hossain and his associates started series of trading at 14:07:10 at price Tk. 17.70 and induced the same to rise to Tk. 19.80 up to 14:22:52. It can also be observed from the above graph that Md. Sajib Hossain and his associates executed significant trades during this time period. It is mentionable here that from 14:07:10 to 14:22:52, Md. Sajib Hossain and his associates bought 390,403 shares of SAFKOSPINN compared to the total traded share of 451,010. This is shown in the following Pie Chart.



It is also found that during the aforementioned period, Md. Sajib Hossain and his associates executed 115 howlas to purchase of shares of SAFKOSPINN compared to the total number of howlas of 150 as shown in the following Bar Chart.



It is observed from the above graphs and screenshot that Md. Sajib Hossain and his associates bought 86.56% of the total trades of SAFKOSPINN shares by executing 115 of total 150 howlas from 14:07:10 to 14:22:52 on 14-06-2021. From 14:07:10 to 14:22:52, Md. Sajib Hossain and his associates induced the price to rise from Tk. 17.70 to Tk. 19.80. Thus it seems that Md. Sajib Hossain and his associates were actively trying to lift the price of SAFKOSPINN share. At the end of the day price of SAFKOSPINN share was increased by Tk. 0.90 which is approximately 5.00% higher than YCP.

Summary of the trade by Md. Sajib Hossain and his associates:

Summary																
Block Buy			Block Sale			Total Buy			Total Sale			Cost of Shares Sold		Stock Position		
Qty	Price	Amount	Qty	Price	Amount	Qty	Price	Amount	Qty	Price	Amount	Price	Amount	Qty	Price	Amount
1223717	14.40	17627212.50	5590189	19.39	108414455.10	6711268	15.20	101978845.70	7138487	20.03	143011240.00	14.68	104800237.89	2816287	14.79	41654840.18

d) Gain and Loss by Md. Sajib Hossain and his associates from 02.05.2021 to 20.06.2021:

Realized Capital gain(loss)per unit	5.35	Average Sale Price - Average Cost Price
Realized Capital gain(loss)%	36.46%	Gain (loss) Per Unit/Cost Per Unit
Realized Capital gain(loss)TK	3,82,11,002.11	Total Sale Quantity x Gain (loss) Per Unit
Closing Price (20-06-2021)	27.30	Closing Price of Last Investigation Date
Unrealized gain(loss) per unit	12.51	Closing Price of Last Investigation Date - Average Price of Stock Position
Unrealized gain(loss) %	84.58%	Unrealized gain (loss) per unit /Average Price of Stock Position
Unrealized gain(loss) TK	3,52,29,794.92	Quantity of Stock Positon x Unrealized gain (loss) per unit

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As per DSE trade data, it was observed that Md. Sajib Hossain and his associates bought 6,711,268 shares and sold 7,138,487 shares of "SAFKOSPINN" from public market during the period from 02.05.2021 to 20.06.2021. With the said twenty-two BO accounts, Md. Sajib Hossain and his associates traded 45.53% shares of "SAFKOSPINN" during the investigation period and realized a capital gain of Tk. 38,211,002.11 from the trading of "SAFKOSPINN" share during the investigation period. He also had unrealized gain of TK. 35,229,794.92 during the period.

Findings- 1:

As per **section 17 of the Securities and Exchange Ordinance, 1969**, "No person shall, for the purpose of inducing, dissuading, effecting, preventing or in any manner influencing or turning to his advantage, the sale or purchase of any security, directly or indirectly, -

.....
(e) do any act or practice or engage in a course of business, or omit to do any act which operates or would operate as a fraud, deceit or manipulation upon any person, in particular-
.....

.....
(v) directly or indirectly effect a series of transactions in any security creating the appearance of active trading therein or of raising of price for the purpose of including its purchase by others or depressing its price for the purpose of inducing its sale by others;"

It is evident that Md. Sajib Hossain and his associates was involved directly and indirectly in a series of transactions of SAFKOSPINN share with his aforesaid BO accounts in order to create the appearance of active trading and raising of price of the said share.

Contravention(s)- 1:

It appears that Md. Sajib Hossain (BO ID # 1204290062837591) and his associates has violated the followings:

- **Section 17(e)(v) of the Securities and Exchange Ordinance, 1969** by directly and indirectly effecting a series of transactions of "SAFKOSPINN" shares creating the appearance of active trading therein and raising price of the said share.

Observation-2:

After analyzing the trade pattern of the clients, the investigation team found that two clients named Md. Sajib Hossain (Client Code # 3828) of AIBL Capital Market Services Ltd. and Mr. MD. ABDUL QUDDUS AMIN (client code 1023) of Prime Islami Securities Limited were involved in trading in shares of "SAFKOSPINN" within the investigation period and these accounts are acting as counter party of each other for 60,091 shares of "SAFKOSPINN" on 25-05-2021 in 12 howlas in the public market.

It was also found that Md. Sajib Hossain (Client Code # M4993 of BRAC EPL Stock Brokerage Limited and Client Code # 3828 of AIBL Capital Market Services Ltd.) and MD. SULEAMAN (client code 10225 of Meghna Bank Securities Limited) acted as counter party of each other for 4,000 shares of "SAFKOSPINN" on 13-06-2021 in 4 howlas in the public market.



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Observation-3:

As per ledger, instrument ledger and portfolio statement of Mr. Md. Sajib Hossain and his associates collected from different TREC Holders, it was observed that they had collectively acquired more than 10% shares of "SAFKOSPINN" during the investigation period. Details are given below:

Shareholding position of Md. Sajib Hossain and his associates:

Sl. No	Client Name	Client Code	Name of Depository Participant	SHARE_BALANCE of SAFKOSPINNS held by Md. Sajib Hossain and his associates													
				5/3/2021		5/19/2021		5/20/2021		5/25/2021		6/3/2021		6/6/2021		6/7/2021	
				Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares
1	MD. SAJIB HOSSAIN	3828	AIBL Capital Market Services Ltd	0	0.00%	0	0.00%	0	0.00%	200000	0.67%	721000	2.40%	721000	2.40%	721000	2.40%
2	MD. SAJIB HOSSAIN	M4993	BRAC EPL Stock Brokerage Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
3	MD. SAJIB HOSSAIN	10226	Meghna Bank Securities Limited	860156	2.87%	872155	2.91%	882155	2.94%	882155	2.94%	872155	2.91%	1044100	3.48%	1044100	3.48%
4	MD. SAJIB HOSSAIN	3842	Subvalley Securities Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	272955	0.91%	272955	0.91%
5	Md. Sharif	M4992	BRAC EPL Stock Brokerage Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
6	MD ABDUL QUDDUS AMIN	M5067	BRAC EPL Stock Brokerage Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	846221	2.82%
7	MD. ABDUL QUDDUS AMIN	01023	Prime Islami Securities Limited	100000	0.33%	100000	0.33%	100000	0.33%	100000	0.33%	0	0.00%	0	0.00%	0	0.00%
8	MD. ABDUL QUDDUS AMIN	6841	Dhaka Bank Securities Limited	45940	0.15%	45940	0.15%	45940	0.15%	45940	0.15%	45940	0.15%	45940	0.15%	45940	0.15%
9	MD. SULEAMAN	M4996	BRAC EPL Stock Brokerage Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
10	MD. SULEAMAN	10225	Meghna Bank Securities Limited	914053	3.05%	914053	3.05%	914053	3.05%	914053	3.05%	904053	3.02%	957053	3.19%	957053	3.19%
11	MD. SULEAMAN	3841	Subvalley Securities Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	92907	0.31%	300000	1.00%
12	NURUNNESA SAKI	10263	Meghna Bank Securities Limited	213818	0.71%	221674	0.74%	231674	0.77%	226903	0.76%	216903	0.72%	251903	0.84%	251903	0.84%
13	NURUNNESA SAKI	27005	Shanta Securities Limited	28010	0.09%	28010	0.09%	28010	0.09%	28010	0.09%	28010	0.09%	28010	0.09%	28010	0.09%
14	NURUNNESA SAKI and MD. SULEAMAN	27427	Shanta Securities Limited	80000	0.27%	80000	0.27%	80000	0.27%	80000	0.27%	80000	0.27%	80000	0.27%	80000	0.27%
15	NURUNNESA SAKI	3876	Subvalley Securities Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	60000	0.20%	60000	0.20%
16	Md. Belal Hossain	M5066	BRAC EPL Stock Brokerage Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	401500	1.34%	401500	1.34%
17	Kazi Mahiuddin Ahmed	1959	Standard Bank Securities Limited	220000	0.73%	220000	0.73%	220000	0.73%	220000	0.73%	220000	0.73%	0	0.00%	0	0.00%
18	Taslima Begum and Md. Sajib Hossain	27426	Shanta Securities Limited	354850	1.18%	354850	1.18%	354850	1.18%	354850	1.18%	354850	1.18%	354850	1.18%	354850	1.18%
19	A.K.M.Khalilur Rahman	K265	AL Securities Limited	261873	0.87%	261873	0.87%	261873	0.87%	261873	0.87%	261873	0.87%	261873	0.87%	261873	0.87%
20	MST. MAHMUDA AKTER	3922	Subvalley Securities Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	7000	0.02%
21	MALIHA TARANNUM SHIFA	7132	Dhaka Bank Securities Limited	66000	0.22%	66000	0.22%	66000	0.22%	66000	0.22%	66000	0.22%	66000	0.22%	66000	0.22%
22	ARIFA BEGUM LUCKY	27280	Shanta Securities Limited	98806	0.33%	98806	0.33%	98806	0.33%	98806	0.33%	98806	0.33%	98806	0.33%	98806	0.33%
Total				3243506	10.82%	3263361	10.88%	3283361	10.95%	3478590	11.60%	4411807	14.71%	5279114	17.61%	6339428	21.14%
No. of paid up shares of SAFKOSPINNS as per monthly shareholding position for April and May, 2021											29,981,715						

Sl. No	Client Name	Client Code	Name of Depository Participant	SHARE_BALANCE of SAFKOSPINNS held by Md. Sajib Hossain and his associates											
				6/8/2021		6/9/2021		6/10/2021		6/13/2021		6/14/2021		6/15/2021	
				Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares	Qty	% of paid up shares
1	MD. SAJIB HOSSAIN	3828	AIBL Capital Market Services Ltd	1371000	4.57%	1561000	5.21%	1562000	5.21%	1564000	5.22%	1564000	5.22%	86028	0.29%
2	MD. SAJIB HOSSAIN	M4993	BRAC EPL Stock Brokerage Limited	0	0.00%	0	0.00%	0	0.00%	334969	1.12%	334969	1.12%	134969	0.45%
3	MD. SAJIB HOSSAIN	10226	Meghna Bank Securities Limited	1044100	3.48%	1044100	3.48%	1045300	3.49%	1045300	3.49%	1054800	3.52%	54800	0.18%
4	MD. SAJIB HOSSAIN	3842	Subvalley Securities Limited	272955	0.91%	272955	0.91%	272955	0.91%	272955	0.91%	272955	0.91%	272955	0.91%
5	Md. Sharif	M4992	BRAC EPL Stock Brokerage Limited	846221	2.82%	846221	2.82%	846221	2.82%	986223	3.29%	1376626	4.59%	479276	1.60%
6	MD ABDUL QUDDUS AMIN	M5067	BRAC EPL Stock Brokerage Limited	542217	1.81%	542217	1.81%	542217	1.81%	542217	1.81%	542217	1.81%	542217	1.81%
7	MD. ABDUL QUDDUS AMIN	01023	Prime Islami Securities Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
8	MD. ABDUL QUDDUS AMIN	6841	Dhaka Bank Securities Limited	45940	0.15%	45940	0.15%	45940	0.15%	45940	0.15%	45940	0.15%	45940	0.15%
9	MD. SULEAMAN	M4996	BRAC EPL Stock Brokerage Limited	0	0.00%	0	0.00%	0	0.00%	504147	1.68%	504147	1.68%	4147	0.01%
10	MD. SULEAMAN	10225	Meghna Bank Securities Limited	953709	3.18%	953709	3.18%	957409	3.19%	956409	3.19%	1094909	3.65%	144909	0.48%
11	MD. SULEAMAN	3841	Subvalley Securities Limited	300000	1.00%	300000	1.00%	300000	1.00%	300000	1.00%	300000	1.00%	300000	1.00%
12	NURUNNESA SAKI	10263	Meghna Bank Securities Limited	251903	0.84%	251903	0.84%	253703	0.85%	252703	0.84%	640703	2.14%	390703	1.30%
13	NURUNNESA SAKI	27005	Shanta Securities Limited	28010	0.09%	28010	0.09%	28010	0.09%	28010	0.09%	28010	0.09%	28010	0.09%
14	NURUNNESA SAKI and MD. SULEAMAN	27427	Shanta Securities Limited	80000	0.27%	80000	0.27%	80000	0.27%	80000	0.27%	80000	0.27%	80000	0.27%
15	NURUNNESA SAKI	3876	Subvalley Securities Limited	60000	0.20%	60000	0.20%	60000	0.20%	60000	0.20%	60000	0.20%	60000	0.20%
16	Md. Belal Hossain	M5066	BRAC EPL Stock Brokerage Limited	401500	1.34%	401500	1.34%	401500	1.34%	401500	1.34%	401500	1.34%	401500	1.34%
17	Kazi Mahiuddin Ahmed	1959	Standard Bank Securities Limited	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%	0	0.00%
18	Taslima Begum and Md. Sajib Hossain	27426	Shanta Securities Limited	354850	1.18%	354850	1.18%	354850	1.18%	354850	1.18%	354850	1.18%	354850	1.18%
19	A.K.M.Khalilur Rahman	K265	AL Securities Limited	261873	0.87%	261873	0.87%	261873	0.87%	261873	0.87%	261873	0.87%	261873	0.87%
20	MST. MAHMUDA AKTER	3922	Subvalley Securities Limited	7000	0.02%	7000	0.02%	7000	0.02%	7000	0.02%	7000	0.02%	7000	0.02%
21	MALIHA TARANNUM SHIFA	7132	Dhaka Bank Securities Limited	66000	0.22%	66000	0.22%	66000	0.22%	66000	0.22%	66000	0.22%	66000	0.22%
22	ARIFA BEGUM LUCKY	27280	Shanta Securities Limited	98806	0.33%	98806	0.33%	98806	0.33%	98806	0.33%	98806	0.33%	98806	0.33%
Total				6986084	23.30%	7176084	23.93%	7183784	23.96%	8162902	27.23%	9089305	30.32%	3813983	12.72%
No. of paid up shares of SAFKOSPINNS as per monthly shareholding position for April and May, 2021										29981715					

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From the above table, it is observed that Md. Sajib Hossain and his associates jointly hold more than 10% of the total paid up shares of SAFKOSPINN.

Findings-3:

As per উপ-বিধি (১) (ক) of বিধি ২ বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (উল্লেখযোগ্য সংখ্যক শেয়ার অর্জন, অধিগ্রহণ ও কর্তৃত্ব গ্রহণ) বিধিমালা, ২০১৮,

"..... (১) বিষয় বা প্রসঙ্গের পরিপন্থী কোন কিছু না থাকিলে, এই বিধিমালায়, (ক) "অধিগ্রহণকারী" অর্থ এমন কোন ব্যক্তি যে নিজে বা অন্য কাহারো সহিত সম্মিলিত হইয়া (persons acting in concert) কোন কোম্পানির ভোটাধিকারযুক্ত শেয়ার অথবা ভোটাধিকারযুক্ত শেয়ারে রূপান্তরযোগ্য সিকিউরিটিজ অধিগ্রহণ করে বা উহার জন্য প্রস্তাব করে....."

As per বিধি ৪(১) of বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (উল্লেখযোগ্য সংখ্যক শেয়ার অর্জন, অধিগ্রহণ ও কর্তৃত্ব গ্রহণ) বিধিমালা, ২০১৮.

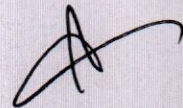
"এক্সচেঞ্জ হইতে নগদ অর্থের বিনিময়ে উল্লেখযোগ্য সংখ্যক শেয়ার অর্জন বা অধিগ্রহণ। (১) কোন কোম্পানিতে উল্লেখযোগ্য সংখ্যক এর কম কোন শেয়ারের ধারক বা ধারকগণ, বা যিনি বা যাহারা কোন শেয়ার ধারণ করেন না এমন ব্যক্তি বা ব্যক্তিবর্গ, এক্সচেঞ্জ হইতে উক্ত কোম্পানির কোন শেয়ার অর্জন বা অধিগ্রহণ করিতে চাহিলে অর্জন বা অধিগ্রহণ পরবর্তী ধারণকৃত মোট শেয়ারের পরিমাণ যদি ১০(দশ) শতাংশ বা উহার অধিক পর্যায়ে উন্নীত হয় অথবা ১০(দশ) শতাংশ বা উহার অধিক কোন শেয়ার ধারক বা ধারকগণ এক্সচেঞ্জের মাধ্যমে উক্ত কোম্পানির আরও শেয়ার অর্জন বা অধিগ্রহণের ক্ষেত্রে তাহাকে তফসিল-১ বর্ণিত পদ্ধতিতে একটি ঘোষণা কোম্পানিটি যে এক্সচেঞ্জে তালিকাভুক্ত সেই এক্সচেঞ্জে সংশ্লিষ্ট অধিগ্রহণকারীর স্টক ব্রোকার বা মার্চেন্ট ব্যাংকারের মাধ্যমে দাখিল করিতে হইবে।"

However, it is found that Md. Sajib Hossain (BO ID # 1204290062837591) and his associates jointly hold more than 10% shares of 'SAFKOSPINN' on the aforesaid dates.

Contravention(s)-3:

It appears that Md. Sajib Hossain (BO ID # 1204290062837591) and his associates have violated the following:

- বিধি ৪(১) of বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (উল্লেখযোগ্য সংখ্যক শেয়ার অর্জন, অধিগ্রহণ ও কর্তৃত্ব গ্রহণ) বিধিমালা, ২০১৮ by acquiring more than 10% shares of "SAFKOSPINN" without complying the requirements of the said rules.



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যেহেতু, উপর্যুক্ত আলোচ্য বিষয়ে সংশ্লিষ্ট সিকিউরিটিজ সংক্রান্ত আইন ভঙ্গের কারণে কমিশনের এনফোর্সমেন্ট বিভাগ কর্তৃক নভেম্বর ২৬, ২০২৩ তারিখ বিএসইসি/এনফোর্সমেন্ট/৩৫১৫/২০২৩/১০৩৩ স্মারকমূলে Md. Sajib Hossain and his associates [associates are Md. Sharif, Md. Abdul Quddus Amin, Md. Suleaman, Ms. Nurunnesa Saki, Md. Belal Hossain, Kazi Mahiuddin Ahmed, Ms. Taslima Begum & Md. Sajib Hossain, A.K.M. Khalilur Rahman, Mst. Mahmuda Akter, Ms. Maliha Tarannum Shifa, Ms. Arifa Begum Lucky]-কে ডিসেম্বর ১৮, ২০২৩ তারিখে কারণ প্রদর্শন সহ শুনানীতে উপস্থিত হতে বলা হয়। নির্ধারিত তারিখ ডিসেম্বর ১৮, ২০২৩ এ Ms. Nurunnesa Saki এর পক্ষে Mr. Md. Enamul Hoque শুনানীতে উপস্থিত হয়ে ডিসেম্বর ১২, ২০২৩ তারিখের পত্রের মাধ্যমে নিম্নলিখিত বক্তব্য দাখিল করেন;

“আমি নুরুন্নেছা ছাকী, শেয়ার বাজারের একজন সাধারণ বিনিয়োগকারী: আমার বিও আইডি যথাক্রমে- ১২০২২২০০৬২০১০২২১. ১২০৩৪৯০০৬২৬৬০০০৫. ১২০৩৪৯০০৬২৬৮৭২৭৭ এবং ১২০৩৬৩০০৬৩১২৭৬৬৫ ঠিকানা-হাউজ: আলীগড় হাউজ (৫ম তলা), ১৪৬. মতিঝিল সি/এ, ঢাকা। আপনার প্রেরিত পত্র নাম্বার-BSEC/Enforcement/3515/2023/1033, তাং-নভেম্বর ২৬. ২০২৩ এর মাধ্যমে জানতে পারি যে ২০২১ সালে SAFKOSPINN এর শেয়ার লেনদেন এর প্রেক্ষিতে আগামী ডিসেম্বর ১৮. ২০২৩ তারিখে কমিশনে শুনানীর জন্য আমাকে ডাকা হয়েছে। আপনার চিঠির অভিযোগের প্রেক্ষিতে আমার বক্তব্য নিম্নরূপ:

আমি নুরুন্নেছা ছাকী আমি নিজ নামে চারটি বিও হিসাব খুলি যার বিও আইডি- যথাক্রমে ১২০২২২০০৬২০১০২২১. ১২০৩৪৯০০৬২৬৬০০০৫. ১২০৩৪৯০০৬২৬৮৭২৭৭ এবং ১২০৩৬৩০০৬৩১২৭৬৬৫। আপনাদের চিঠিতে উল্লেখিত জনাব মোঃ সজিব হোসেন, যার বিও আইডি- ১২০৪২৯০০৬২৮৩৭৫৯১. তার সাথে আমার ৪০০০ শেয়ারের ফ্রস ট্রেডিং দেখানো হয়েছে: এ বিষয়ে আমার বক্তব্য হলো: আমি মার্কেট প্রাইস অনুসারে নিটিং এর উদ্দেশ্যে শেয়ার বাই-সেল করেছি। ফ্রস ট্রেডিং এর যে অভিযোগ আনা হয়েছে তা সম্পূর্ণ ভিত্তিহীন।

২০১৯ সালে আমি এভারেজ ১৯.৫০ টাকা বাজারমূল্যে SAFKOSPINN শেয়ারটি বাই করি। আমি শেয়ারটি বাই করার পর থেকে শেয়ারটির প্রাইস ক্রমাগত কমে কমে করোনার পর ০৯ টাকায় চলে আসে। আমি ৫০% এর অধিক আনরিয়েলাইজড লসের সম্মুখীন হয়েছি, COVID-19 পরের সময়ে SAFKOSPINN ফোর প্রাইসে অবস্থান করে। আমি আমার আনরিয়েলাইজড লস কমিয়ে আনার উদ্দেশ্যে SAFKOSPINN শেয়ারটি পুনরায় বিভিন্ন প্রাইসে বাই করে আমার এভারেজ ক্রয়মূল্যে কমিয়ে নিয়ে আসি।

আপনাদের চিঠিতে আপনারা আমাকে জনাব সজীব হোসেন এর এসোসিয়েটস হিসেবে গণ্য করেছেন যা সম্পূর্ণ ভুল ও মিথ্যা। চিঠিতে আরো উল্লেখ করা হয়েছে যে, আমি ২০১৮ এর ৪(১) ধারা ভঙ্গ করে মোঃ সজিব হোসেনের সাথে যুক্ত হয়ে ১০ শতাংশের অধিক শেয়ার ফ্রয় করেছি। কিন্তু চিঠিতে উল্লেখিত জনাব সজীব হোসেনকে আমি ব্যক্তিগত ভাবে চিনি না এবং তার সাথে আমার কোনরূপ সম্পর্ক নেই। আপনার চিঠিতে আরও উল্লেখিত হয় যে, আমি নুরুন্নেছা ছাকী, জনাব সজীব হোসেন এর সাথে সম্পৃক্ত হয়ে সিকিউরিটিজ এন্ড এক্সচেঞ্জ অর্ডিন্যান্স ১৯৬৯ এর ধারা 17(e) (v) এবং 17(e) (ii) এবং (e) (iii) এবং ২০১৮ এর ৪(১) ধারা লংঘন করে SAFKOSPINN এর শেয়ার লেনদেন করি যা সত্য নয়। এ বিষয়ে আমার বক্তব্য হল-আমি শুধুমাত্র আমার ব্যক্তিগত বিও হিসাবগুলো আমার ব্রোকার এবং কর্মকর্তার মাধ্যমে পরিচালনা করি। আমার বিও হিসাবে উল্লেখিত সময়ের আগে ও পরে SAFKOSPINN এর শেয়ার ছাড়াও অন্য অনেক শেয়ারের লেনদেন হয়েছিল। উক্ত সময়ে আমার বিও হিসাবের সম্পত্তার মাধ্যমে SAFKOSPINN এর শেয়ার লেনদেনে যে Large Volume, persons acting concert, Series of Transaction এবং Manipulative Transaction এর যে অভিযোগ আনা হয়েছে তা সম্পূর্ণ ভিত্তিহীন। আমার বিও হিসাব হতে উক্ত সময়ে সিকিউরিটিজ আইনের কোন ধারার লংঘন হয় নাই।

পরিশেষে আমি বলতে চাই যে, আমার উপরোক্ত বক্তব্য আমলে নিয়ে আমার বিরুদ্ধে আনীত সিকিউরিটিজ আইন লংঘনের অভিযোগ হতে আমাকে অব্যাহতি প্রদান করা হউক।”

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যেহেতু, Ms. Nurunnesa Saki-এর উল্লিখিত বক্তব্য এবং তদন্ত প্রতিবেদন থেকে প্রতীয়মান হয় যে, তাঁর বিরুদ্ধে উপস্থাপিত অভিযোগসমূহ সঠিক ও ইচ্ছাকৃত এবং উপর্যুক্ত কর্মকান্ডের ফলে পুঁজিবাজারের সাধারণ বিনিয়োগকারীগণ ক্ষতিগ্রস্ত হয়েছেন যা পুঁজিবাজার উন্নয়নের পরিপন্থী, সেহেতু এক্ষেত্রে Ms. Nurunnesa Saki-এর ব্যাখ্যা কমিশনের নিকট গ্রহণযোগ্য হিসেবে বিবেচিত হয়নি;

যেহেতু, Ms. Nurunnesa Saki-এর উপর্যুক্ত কর্মকান্ডের মাধ্যমে Securities and Exchange Ordinance, 1969 এর Section 17(e)(ii) ও Section 17(e)(v) এবং বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (উল্লেখযোগ্য সংখ্যক শেয়ার অর্জন, অধিগ্রহণ ও কর্তৃত্ব গ্রহণ) বিধিমালা, ২০১৮ এর বিধি ৪(১) লংঘন করেছেন;

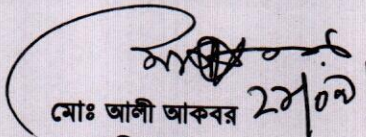
যেহেতু, Ms. Nurunnesa Saki-এর উপর্যুক্ত কর্মকান্ড Securities and Exchange Ordinance, 1969 এর Section 22 এবং বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন আইন, ১৯৯৩ এর ধারা ১৮ অনুযায়ী শাস্তিযোগ্য অপরাধ;

যেহেতু, কমিশনের বিবেচনায়, সিকিউরিটিজ আইন ও বিধি-বিধান পরিপালনে আলোচ্য ব্যর্থতার জন্য, পুঁজিবাজারের শৃংখলা, স্বচ্ছতা এবং জনস্বার্থে আলোচ্য Ms. Nurunnesa Saki-কে অর্থদণ্ডে দণ্ডিত করা প্রয়োজন ও সমীচীন;

অতএব, সেহেতু, কমিশন উল্লিখিত যাবতীয় বিষয় বিবেচনাপূর্বক, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) এর Section 22 [যা The Securities and Exchange (Amendment) Act, 2000 দ্বারা সংশোধিত] এবং বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন আইন, ১৯৯৩ এর ধারা ১৮ এ প্রদত্ত ক্ষমতাবলে-

- Ms. Nurunnesa Saki-কে শেয়ার লেনদেনে কারসাজি করে Securities and Exchange Ordinance, 1969 এর Section 17(e)(ii), 17(e)(v) এবং এক্সচেঞ্জে ঘোষণা প্রদান ব্যতিরেকে শেয়ার অর্জন বা অধিগ্রহণ করে বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন (উল্লেখযোগ্য সংখ্যক শেয়ার অর্জন, অধিগ্রহণ ও কর্তৃত্ব গ্রহণ) বিধিমালা, ২০১৮ এর বিধি ৪(১) ভঙ্গের দায়ে যথাক্রমে ১.০০ (এক) লক্ষ, ১৭.০০ (সতের) লক্ষ এবং ১.০০ (এক) লক্ষ টাকা, সর্বমোট ১৯.০০ (উনিশ) লক্ষ টাকা অর্থদণ্ডে দণ্ডিত করল যা অত্র আদেশের ৩০ (ত্রিশ) দিনের মধ্যে 'বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন' এর অনুকূলে ইস্যুকৃত ব্যাংক ড্রাফট/পে-অর্ডারের মাধ্যমে জমা প্রদান করতে হবে, অন্যথায় সিকিউরিটিজ আইন মোতাবেক পরবর্তী আইনানুগ ব্যবস্থা গ্রহণ করা হবে।

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশনের আদেশক্রমে,


মোঃ আলী আকবর ২২/০৭/২০২৪
কমিশনার

বিতরণ:

১। Ms. Nurunnesa Saki

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