

## BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/3345/2022/ 1240 Date: September 26, 2022 By Courier service

Ms. Sanjida Rahman
BO ID (1204240073829628)
LankaBangla Securities Ld.
City Center (12th Floor), Unit # 12-C & D, 90/1,
Motijheel C/A, Dhaka-1000
Attention: Managing Director/CEO

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3345/2022/1139 dated August 25, 2022 based on which the hearing was conducted on September 11, 2022.

Considering the explanation vide letter dated September 11, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the  $\bf Ms.$  Sanjida Rahman (BO ID # 1204240073829628) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Amirul Islam

Assistant Director (Enforcement)

Phone: 01681009385 e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC

2. P.O to Commissioner (Enforcement), BSEC

3. P.O to Executive Director (MIS), BSEC

4. P.O.to Executive Director (SRI), BSEC

5. MD, DSE

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