

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2008/2013/432
Dated: March 08, 2022

Multi Securities & Services Limited
DSE TREC Holder Number - 075
Shareef Mension, (5th Floor),
56-57, Motijheel C/A, Dhaka-1000

Kind Attention: Managing Director/CEO.

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2008/2013/254 Dated: February 28, 2022 based on which the hearing was conducted on March 07, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. MSSL/MSEC Show cause cum hearing-2022/17 dated March 7, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (Multi Securities & Services Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. P.O. to Executive Director (MSI), BSEC
5. Managing Director, Dhaka Stock Exchange Ltd.