

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3044/2021/628  
Dated: September 08, 2021

By Special Messenger

Murshed Securities Limited  
(DSE TREC holder no. 116)  
Room-708,710,9/F  
Stock Exchange Building,  
Motijheel C/A, Dhaka 1000

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3044/2021/340 dated May 24, 2021 and subsequent letter dated August 12, 2021 based on which the hearing was conducted on 23.08.2021.

The Commission, considering your explanation vide letter ref No. MSL/BSEC/INQ dated June 20, 2021 and MSL/BSEC/INSP dated August 19, 2021 has decided to dispose of the proceedings against Murshed Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

*AM*  
08.09.2021

**Mohammad Al Masum Mirdha**  
Additional Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SR1), BSEC.
3. PO to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Ltd.

M/F