

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3044/2021/55
Dated: January 10, 2021

By Special Messenger

Murshed Securities Limited
DSE TREC No.116
Room # 708, Stock Exchange Building,
9/F, Motijheel C/A, Dhaka-1000

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16.01.2021
DD-1 (MIS)

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3044/2021/1235 dated December 22, 2021 based on which the hearing was conducted on December 29, 2021.

The Commission, considering your explanation vide letter dated 28.12.2021 has decided to dispose of the proceedings against Murshed Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

10.01.2021

Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
- ~~3. PO to Executive Director (MIS), BSEC.~~
4. Managing Director, Dhaka Stock Exchange Ltd.