

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/4072/2025/289

Dated: 21 April, 2026

By Special Messenger

01. NBL Securities Limited

(DSE TREC Holder # 203)

Eunoos Trade Centre (Level-19, East part)

52-53, Dilkusha C/A, Dhaka-1000.

02. Ms. Farzana Ferdausi

Managing Director (Current Charge)

NBL Securities Limited

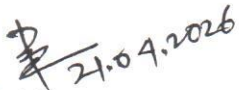
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/4072/2025/1224, Date: 02 November, 2025 based on which the hearing was conducted on 10 November, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter ref: no. NBLSL/HO/COM/2025/11/163 dated 10 November 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


21.04.2026

Rabiul Islam

Assistant Director (Enforcement)

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Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. PO to Executive Director (MIAD), BSEC
5. Managing Director, Dhaka Stock Exchange PLC.