

**SEC/Enforcement/874/2011/151**  
**April 12, 2012**

**By Special Messenger**

NCC Bank Limited  
6, Motijheel C/A (1st floor)  
Dhaka-1000.

**Fax No. 7125684**

**Attention: Managing Director/CEO**

**Subject: Rejection of Review Petition: Non-compliance of শর্ত নং- ২ of ষ্টক ব্রোকার নিবন্ধন সনদ, আচরনবিধি ১, ২ ও ৭ of দ্বিতীয় তফসিল and বিধি ১১ of সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (ষ্টক ডিলার, ষ্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০.**

This refers to SEC's penal order No. SEC/Enforcement/874/2011/498 dated August 16, 2011, letter no. SEC/Enforcement/874/2011/115 dated March 19, 2012 and your second review petition No. NCCB/CMS/SEC/2012/630 dated April 03, 2012.

Considering your prayer as review petition under article 21 (1) of the Securities and Exchange Commission Act, 1993, the Commission has rejected the review petition and decided to uphold its earlier decision of penalty as conveyed to you vide letter no. SEC/Enforcement/874/2011/115 dated March 19, 2012.

For Securities and Exchange Commission

Mustari Jahan  
Deputy Director (Enforcement Dept.)

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