

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3252(Part-2)/2022/987

Dated: July 06, 2022

NRB Commercial Bank Ltd. and its associates

114, Motijheel, Dhaka.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3252(Part-2)/2022/448 Dated: March 10, 2022 based on which the hearing was conducted on March 21, 2022 and March 28, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. NRBCBank/HO/TD/2022/318 dated March 28, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


06.07.2022

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.