



Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3212/2021/33

By Special Messenger

Dated: January 04, 2022

Nabiul Karim Securities Ltd.

DSE TREC #115

Room # 216, Stock Exchange Building, 9/F, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3212/2021/1145 dated December 15, 2021 based on which the hearing was conducted on December 29, 2021.

The Commission, considering the explanation vide letter no. Nil dated December 29, 2021 which was submitted at the time of hearing, has decided to dispose of the proceedings against Nabiul Karim Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Muhammad Sadequr Rahman Bhuiyan
04.01.2022
Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC

Managing Director, Dhaka Stock Exchange Ltd.

Executive Director (SRI), BSEC

~~Executive Director (MIS), BSEC~~