

Bangladesh Securities and Exchange Commission

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

BSEC/Enforcement/3055/2021/১০৩

By Courier Service

January 16, 2022

Nazneen Akter Rahman
NHB 20 (NHA BLGD # 20), Flat# B3
Level # 04, Saptanir Officers Quarter
Lalmatia
Dhaka-1207

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3055/2021/852 dated October 12, 2021 based on which the hearing was conducted on October 28, 2021.

Considering the explanation vide letter dated October 28, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings Nazneen Akter Rahman by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Akram Siraj

Akram Siraj 16.01.2022
Assistant Director (Enforcement)
E-mail: akram@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Executive Director (SRI), BSEC
3. P.O. to Director (MIS), BSEC
4. Managing Director, DSE