

## Bangladesh Securities and Exchange Commission

**BSEC/Enforcement/2977/2020/511**

**By Special Messenger**

Dated: March 28, 2022

Nexus Securities Limited  
(DSE TREC No.218)  
Purbani Annex Building-2 (4th Floor, West side)  
1, Dilkusha Commercial Area, Dhaka-1000

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2977/2020/321 dated February 17, 2022 on which the hearing was conducted on March 07, 2022.

The Commission, considering your explanation vide letter dated March 06, 2022 has decided to dispose of the proceedings against Nexus Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

*Mahmuda Shireen*

*28.03.22*

**Mahmuda Shireen**

Deputy Director (Enforcement)

E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited